



*Course 142A Compilers & Interpreters*  
**Virtual Machine & Interpretation**

Lecture Week 6, Wednesday  
**Prof. Dr. Luc Bläser**

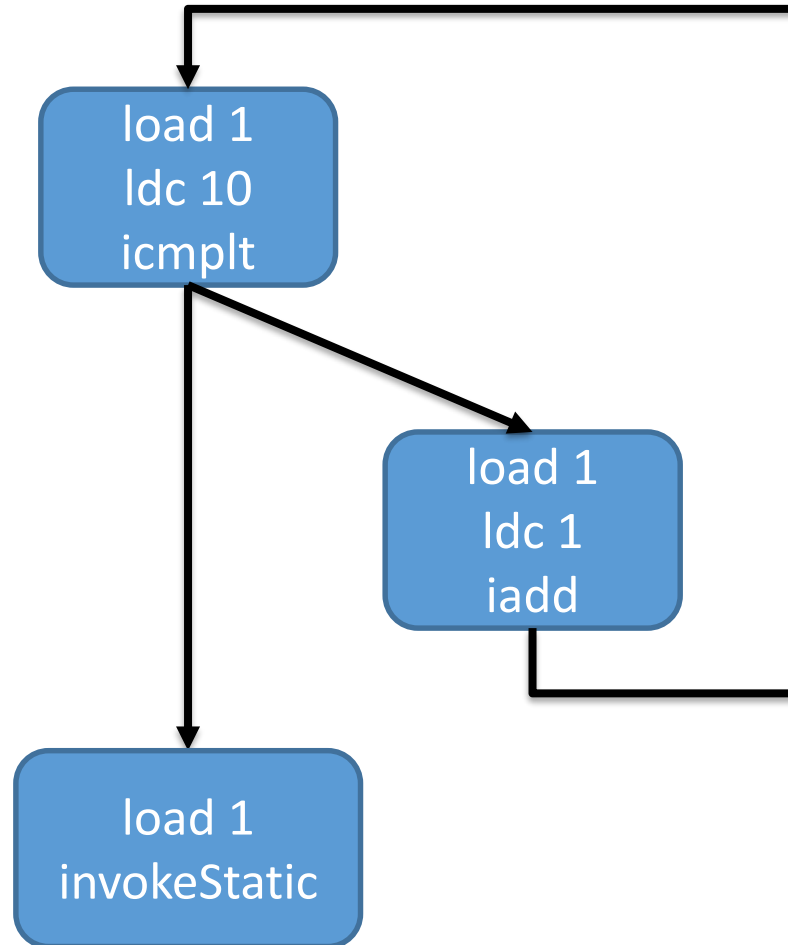
# Last Week - Quiz

```
label0: load 1
        ldc 10
        icmplt
        if_false label1
        load 1
        ldc 1
        iadd
        store 1
        goto label0
label1: load 1
        invokestatic writeInt
```



*How does the control flow graph look like?*

# Control Flow Graph



*What can we do with the control flow graph?*

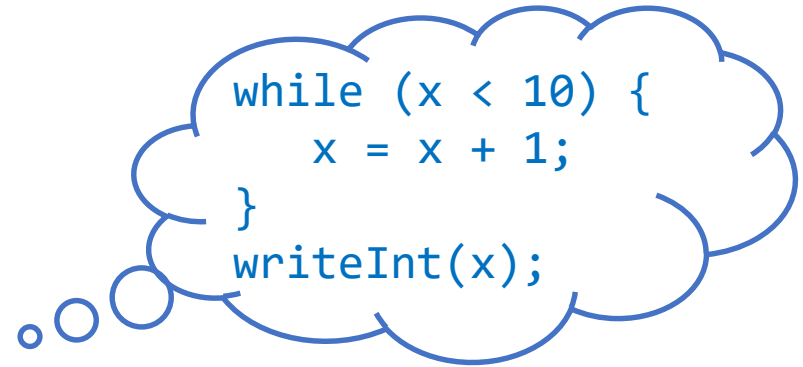
# Dataflow Analysis

- Requires CFG (of bytecode or source code)
- Static verification
  - Type correctness
  - Bounded evaluation stack
  - ...
- Static optimizations
  - Constant propagation
  - Dead code elimination
  - ...

=> However, we can also check and optimize at runtime

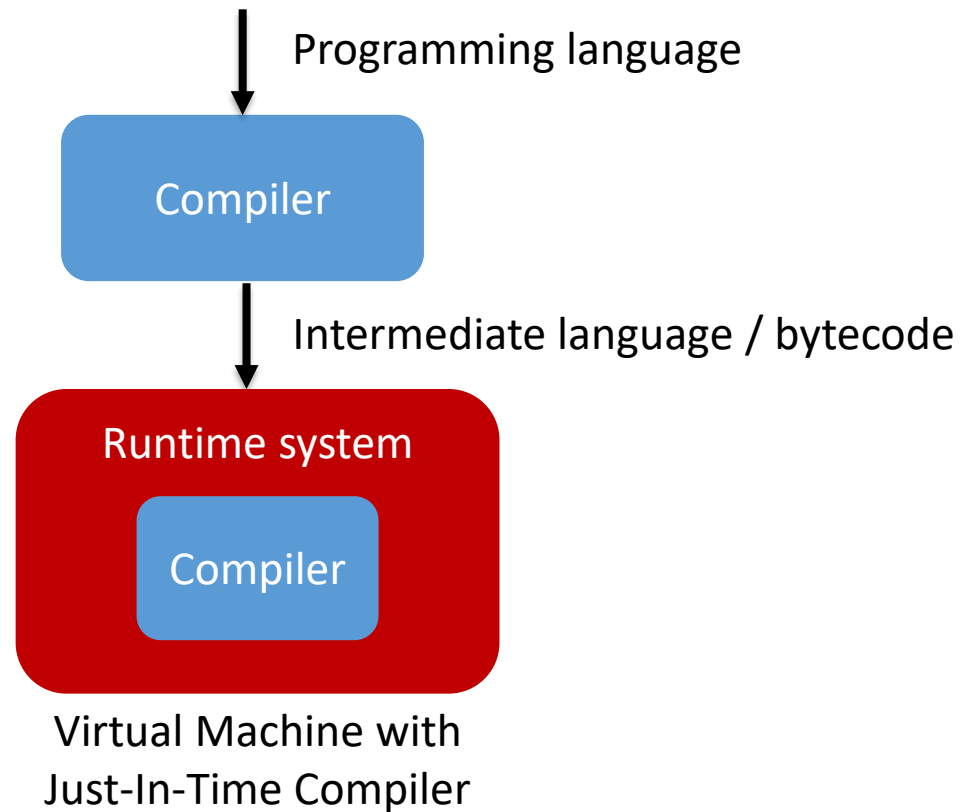
# Intermediate Code

```
label0: load 1
        ldc 10
        icmpgt
        if_false label1
        load 1
        ldc 1
        iadd
        store 1
        goto label0
label1: load 1
        invokestatic writeInt
```



*How do we run our bytecode?*

# Big Picture



# Today's Topics

- Virtual Machine
- Loader
- Interpreter
- Call Stack

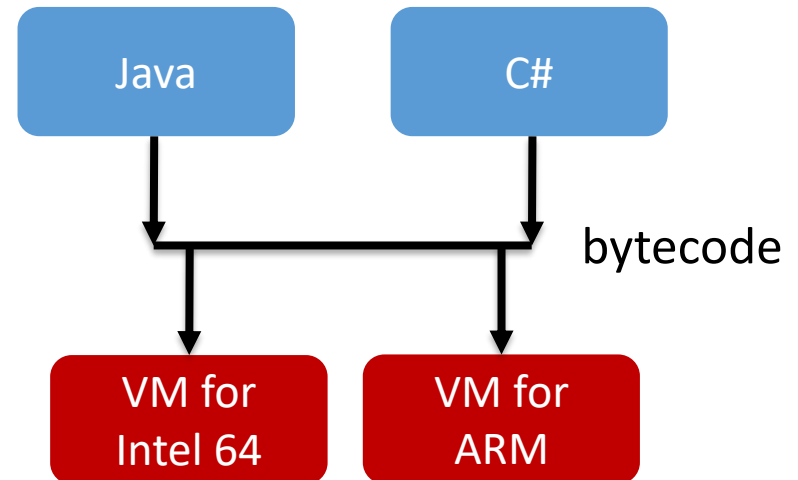
# Learning Goal

- Understand the architecture of a virtual machine
- Be able to implement an own interpreter
- Know the procedural runtime support



# Virtual Machine

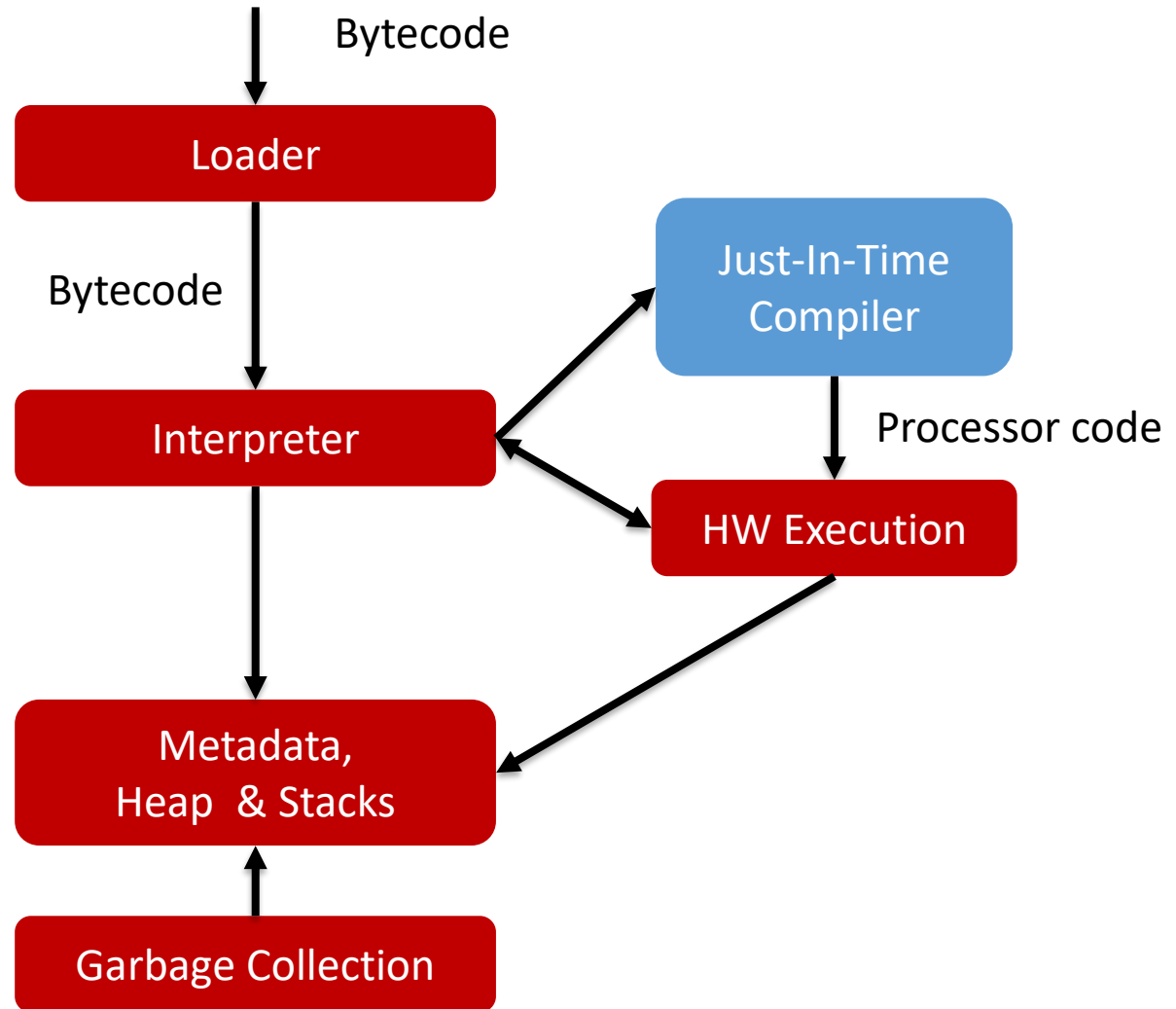
- Hypothetical machine with virtual processor
  - Custom instruction set (intermediate language/bytecode)
  - Wrapper around the real processor
- Advantages
  - Multi-platform
  - Multi-language
  - Security



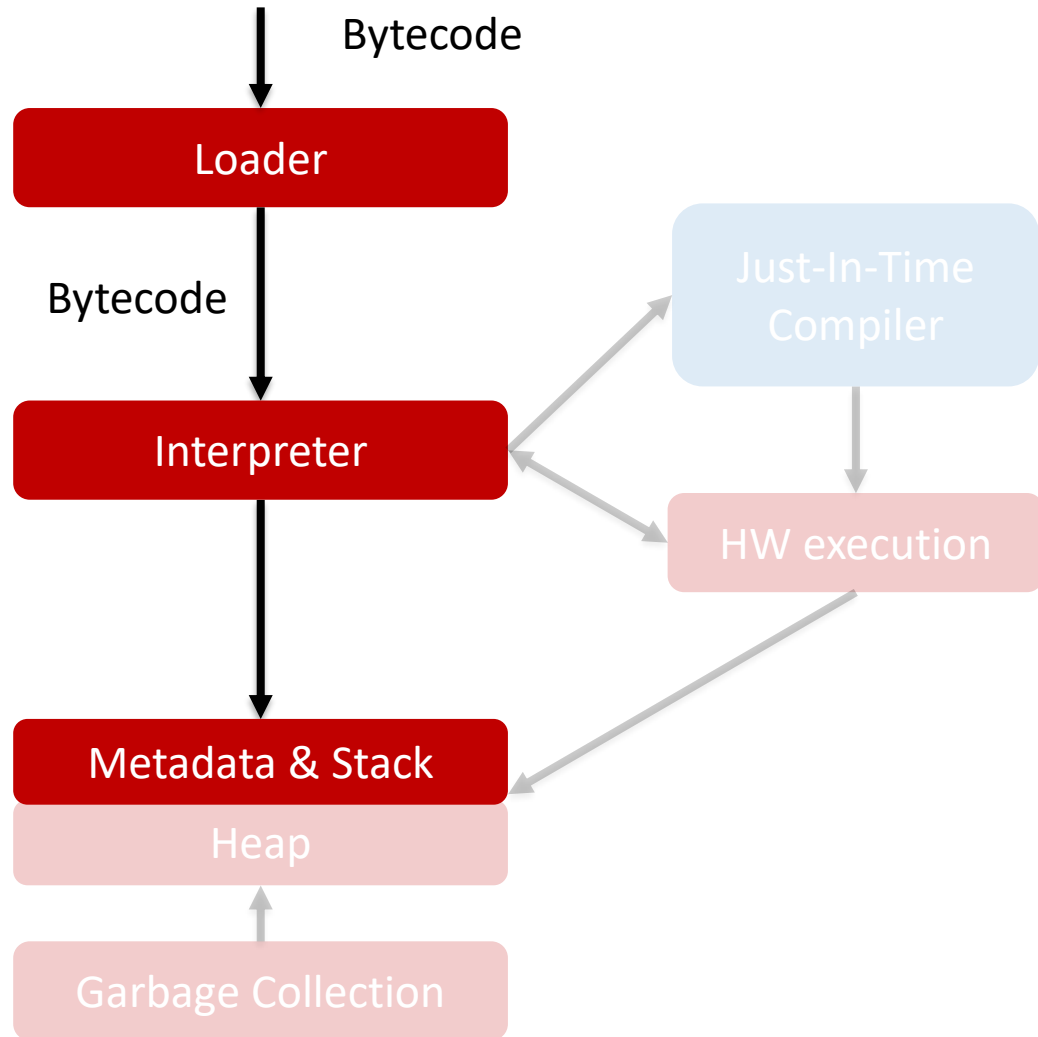
# History

- First intermediate language in 1966 (O-Code)
- 1975 Pascal P-Code
  - Stack machine (postfix notation)
  - One frontend, multiple backends
  - UCSD Apple II implementation
- 1980 Modula M-Code
  - High code density
  - VM in firmware
- 1995 JVM Java Virtual Machine
- 2000 Microsoft .NET Framework

# Virtual Machine Structure



# Our Focus Today



# Loader

- Loads bytecode code (file) in memory
- Allocates memory
  - Metadata for classes, methods, variables, code
- Defines layouts
  - Memory regions for fields/variables/parameters
- Address relocation
  - Resolves references to methods, types, other assemblies
- Initiates program execution
  - interpretation or compilation (JIT)
- Optional: Verifier

# Verifier

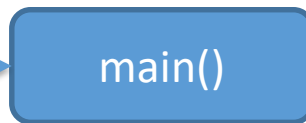
- Detect and prevent invalid bytecode
  - Static analysis at loading time
  - Compiler errors, malicious manipulation etc.
- Possible errors
  - Type errors
  - Evaluation stack overflow/underflow
  - Undefined variables/methods/classes
  - Illegal branches
  - ...
- Alternative: Runtime checks
  - Our approach

# Metadata

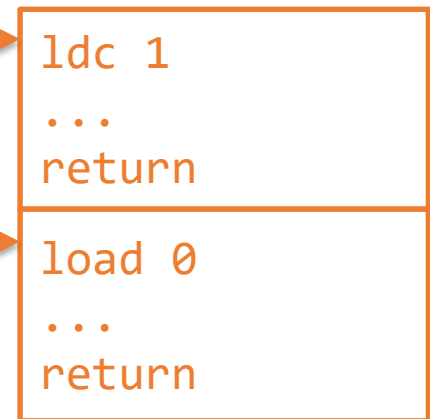
Class Descriptor



Method Descriptor



Bytecode



# Descriptors

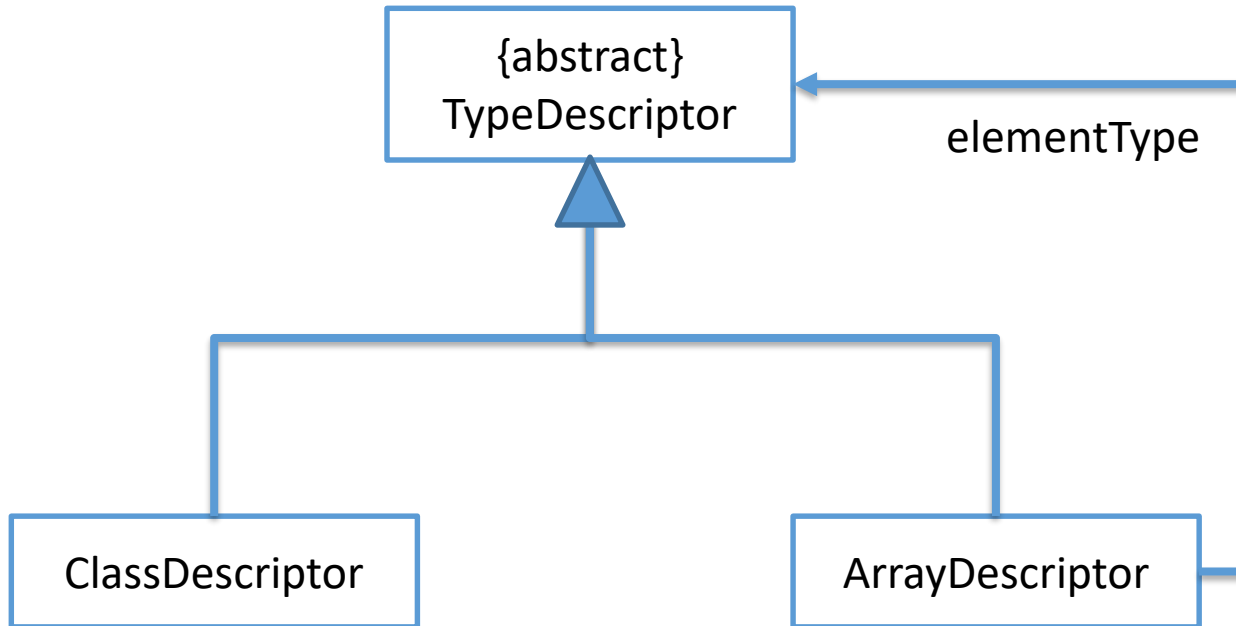
- Runtime information for types & methods
  - Types: Classes, arrays, or base types
  - Classes: Field types
  - Methods: Types of parameters & locals, return type, bytecode



*What else could be recorded in class descriptors?*



# Type Descriptors



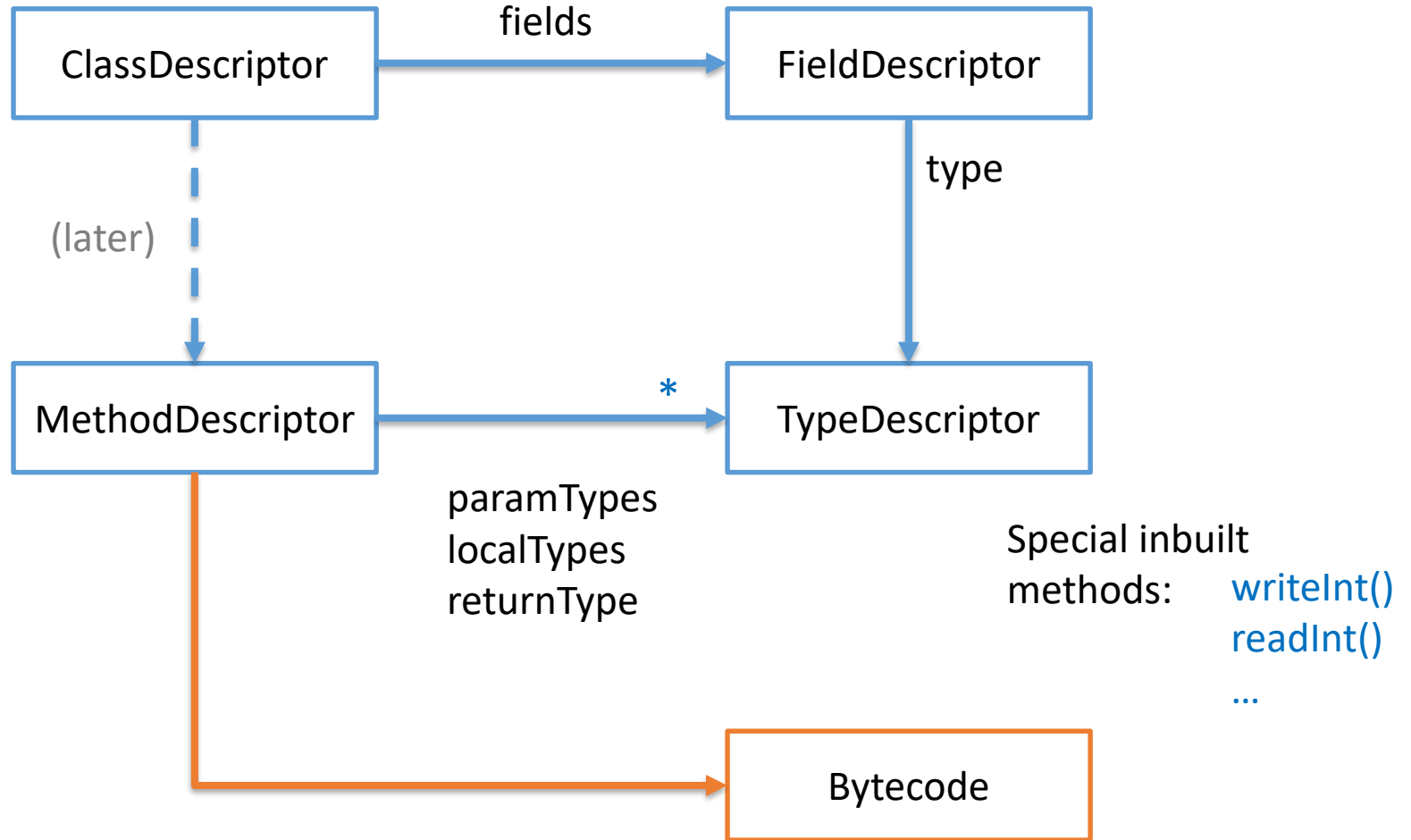
Special inbuilt types:

boolean

int

string

# Class & Method Descriptors



# Bytecode

- Loaded from file directly in memory
- Patching: Adjust instruction arguments
- References to corresponding descriptors

Original	Patched
<code>invokevirtual MyMethod</code>	<code>invokevirtual &lt;method_desc&gt;</code>
<code>new MyClass</code>	<code>new &lt;class_desc&gt;</code>
<code>newarr MyType</code>	<code>newarr &lt;type_desc&gt;</code>
<code>getfield MyField</code>	<code>getfield &lt;field_desc&gt;</code>
<code>putfield MyField</code>	<code>putfield &lt;field_desc&gt;</code>
...	

# Patching

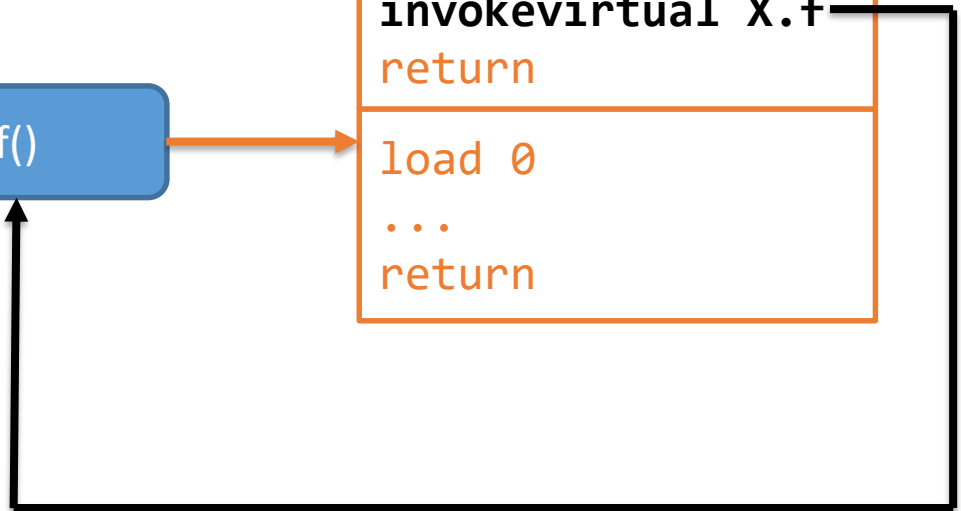
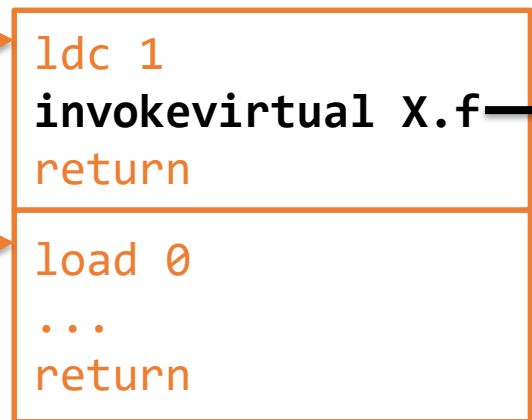
Class Descriptor



Method Descriptor



Bytecode

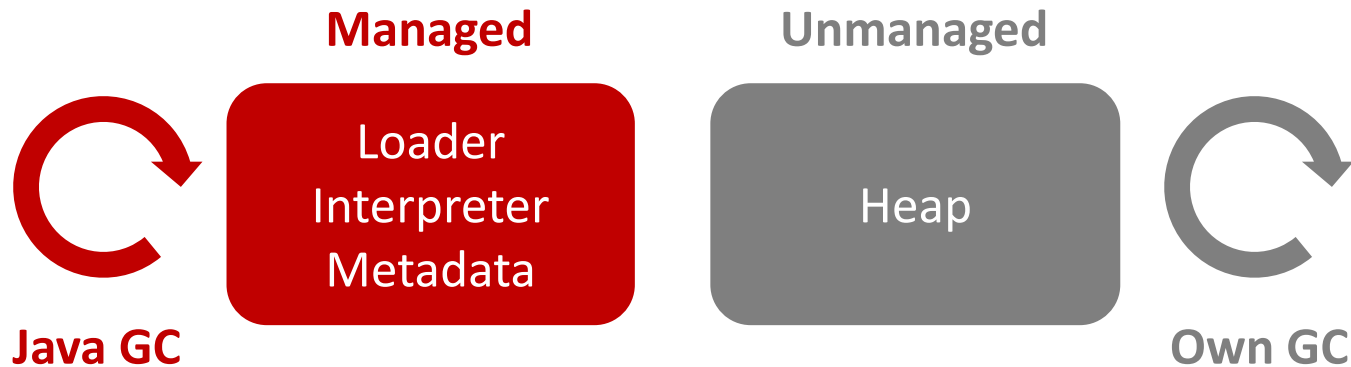


# Programming Language

- For convenience, we implement the VM in Java
  - No C or C++
- Disadvantage: We get managed runtime support
  - But we want to build our own GC later
  - “Managed in managed” does not make any sense
- Solution: Managed Java + Unmanaged Native Access

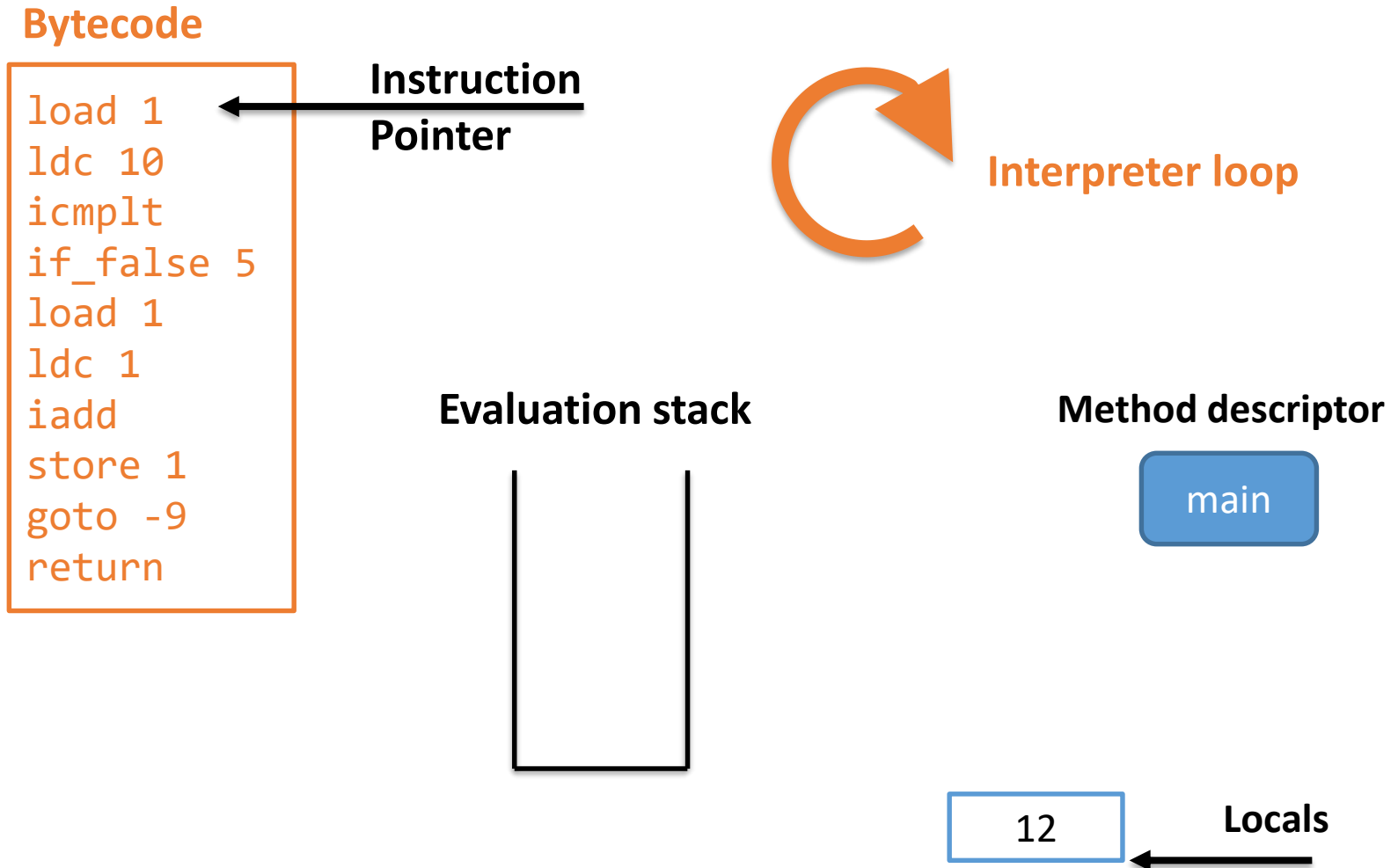
# VM: Managed & Unmanaged

- Unmanaged pieces besides Java VM
  - Heap and HW execution (JIT)



We skip unmanaged for the moment: Covered later

# Interpreter

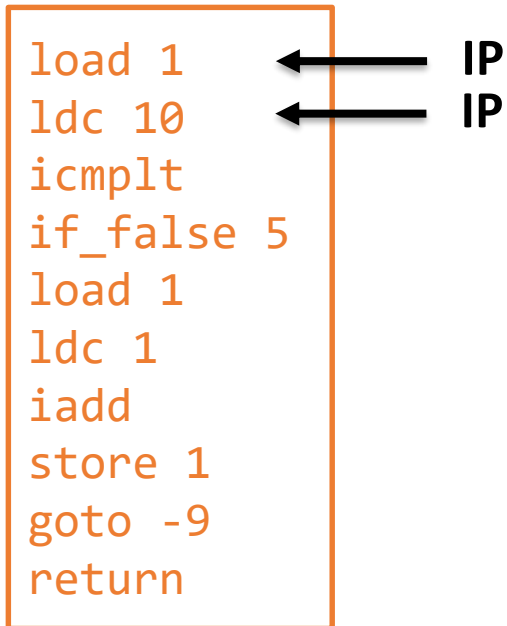


# Interpreter Components

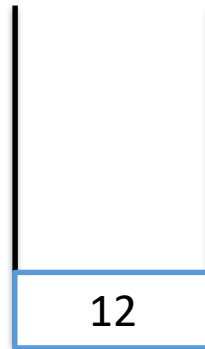
- Interpreter loop
  - Emulates instructions one after the other
- Instruction Pointer (IP)
  - Address of the next instruction
- Evaluation stack
  - For virtual stack processor
- Locals & parameters
  - For active method
- Method descriptor
  - For active method



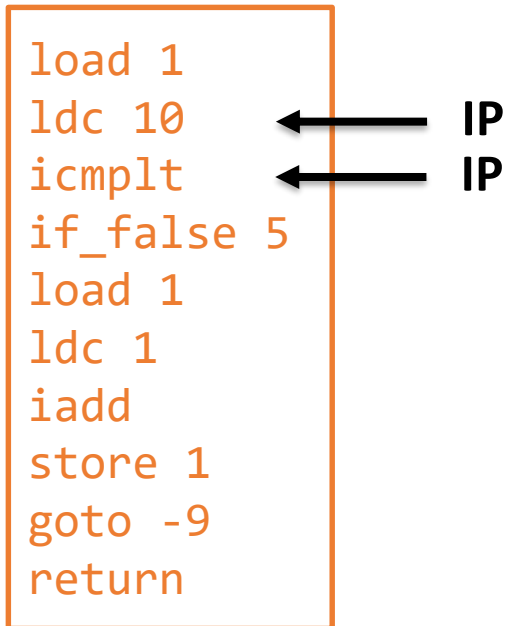
# Interpretation



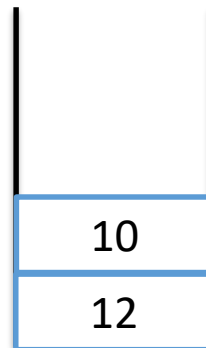
```
value = locals[1]; // 12  
push(value);
```



# Interpretation



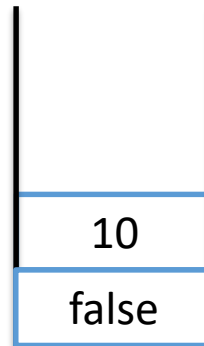
push(10)



# Interpretation

```
load 1
ldc 10
icmplt ← IP
if_false 5 ← IP
load 1
ldc 1
iadd
store 1
goto -9
return
```

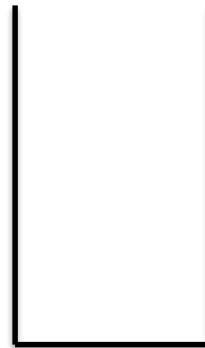
```
right = pop(); // 10
left = pop(); // 12
result = left < right; // false
push(result);
```



# Interpretation

```
load 1
ldc 10
icmplt
if_false 5 ← IP
load 1 ← IP
ldc 1
iadd
store 1
goto -9
return
```

```
condition = pop(); // false
if (!condition) {
    IP += 5;
}
```



# Interpretation

```
load 1  
ldc 10  
icmplt  
if_false 5  
load 1  
ldc 1  
iadd  
store 1  
goto -9  
return
```

← IP

# Interpreter Loop



```
while (true) {  
    var instruction = code[instructionPointer];  
    instructionPointer++;  
    execute(instruction);  
}
```

# Execution

- `execute()` emulates instruction depending on opcode

```
switch(instruction.getOpCode()) {  
  case LDC:  
    push(instruction.getOperand());  
    break;  
  case IADD:  
    var right = pop();  
    var left = pop();  
    var result = left + right;  
    push(result);  
    break;  
  ...  
}
```

# Interpretation Patterns

ldc

```
push(instruction.getOperand())
```

load

```
var index = instruction.getOperand();  
push(getParamOrLocal(index));
```

store

```
var index = instruction.getOperand();  
setParamOrLocal(index, pop());
```

get/setParamOrLocal

0	“this” (read-only)
1..N	N parameters
N+1..M	M locals



# Interpretation Patterns

## iadd

```
var right = pop(), left = pop();  
push(left + right);
```

Analogous for isub, imul, idiv, irem etc.

## goto

```
instructionPointer += (int)instruction.getOperand();
```

## if\_true

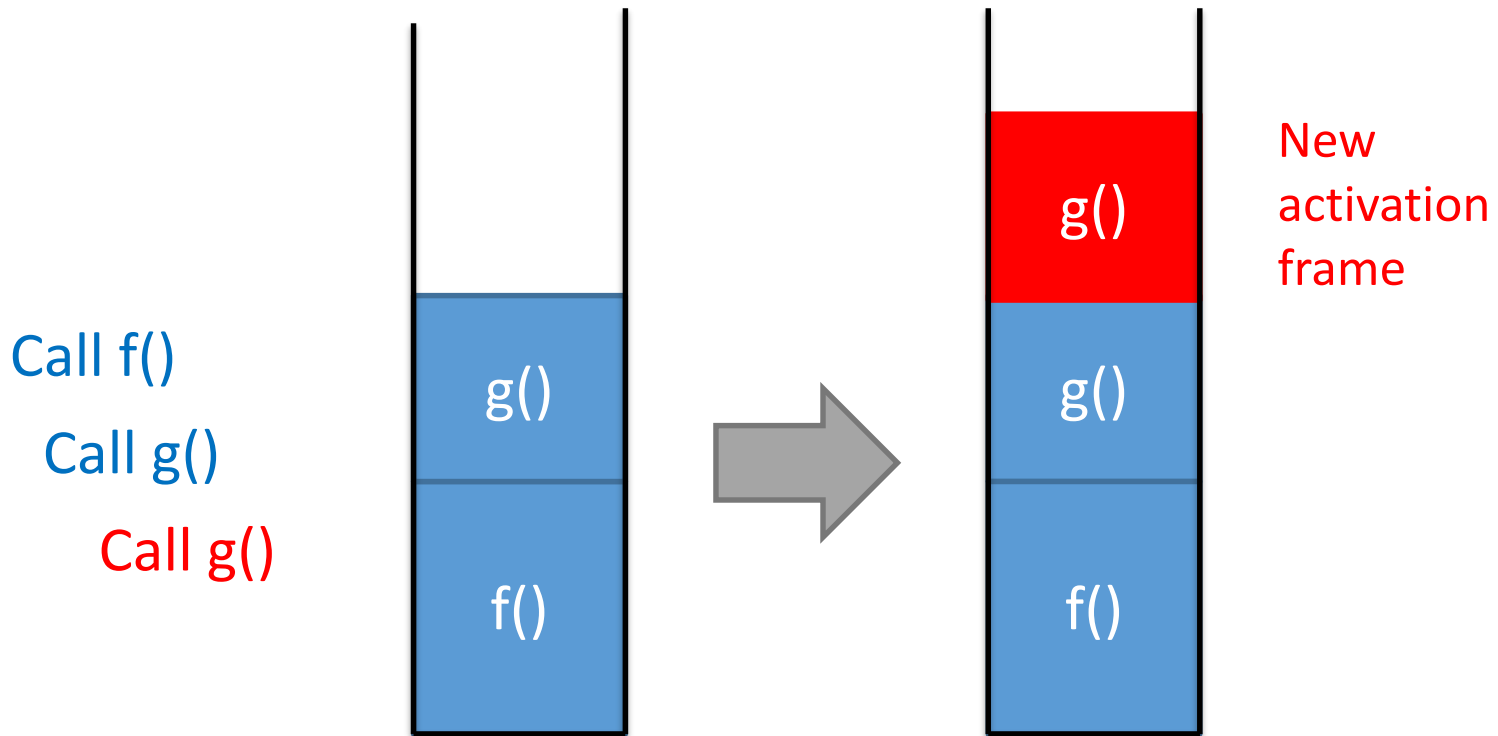
```
if (pop()) {  
    instructionPointer += (int)instruction.getOperand();  
}
```

Analogous for if\_false

# Procedural Support

- Method calls
  - invokevirtual = Call new method
  - return = Exit from method
- Activation frame
  - Memory space for method
  - Parameter, local variables, temporary evaluation
- Call stack
  - Stack of activation frames according to call order

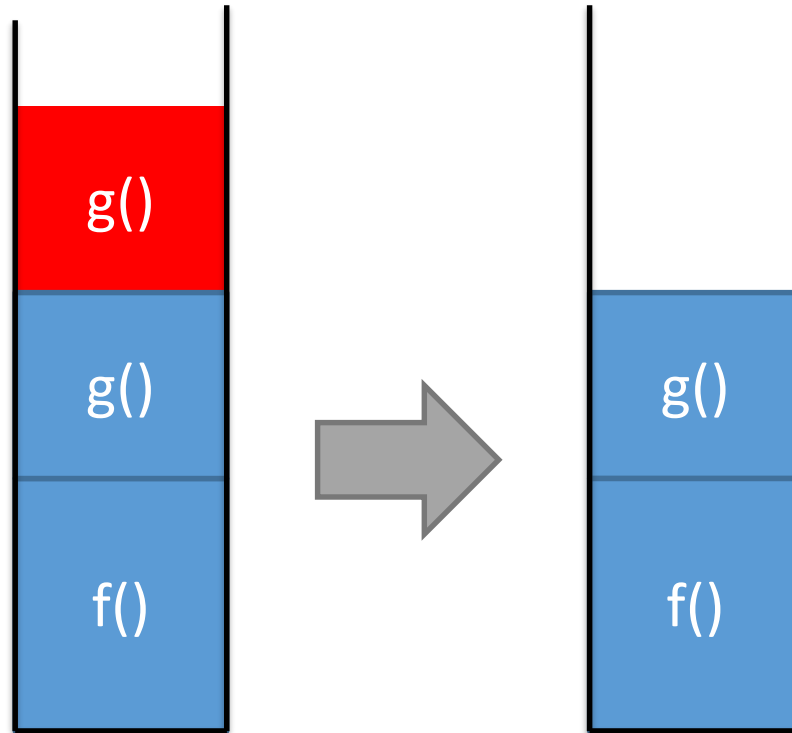
# Call Stack



For each method call, push new frame on call stack

# Method Return

return



Pop frame from call stack

# Call Stack Design

- Managed call stack in interpreter
  - Object-oriented representation => convenience
- Unmanaged call stack in HW execution
  - Contiguous memory block => efficiency

# Managed Call Stack

```
class ActivationFrame {  
    private MethodDescriptor method;  
    private Pointer thisReference;  
    private Object[] arguments;  
    private Object[] locals;  
  
    private EvaluationStack evaluationStack;  
    private int instructionPointer;  
    ...  
}  
  
class CallStack {  
    private Deque<ActivationFrame> stack;  
}
```



*Why does every frame have its own evaluation stack and instruction pointer?*

# Method Call

```
var method = (MethodDescriptor)instruction.getOperand();  
  
var nofParams = method.getParameterTypes().length;  
var arguments = new Object[nofParams];  
for (int i = arguments.length - 1; i >= 0; i--) {  
    arguments[i] = pop();  
}  
var target = pop();  
  
var frame = new ActivationFrame(method, target, arguments);  
callStack.push(frame);
```



*Do we need any additional logic?*

# Method Return

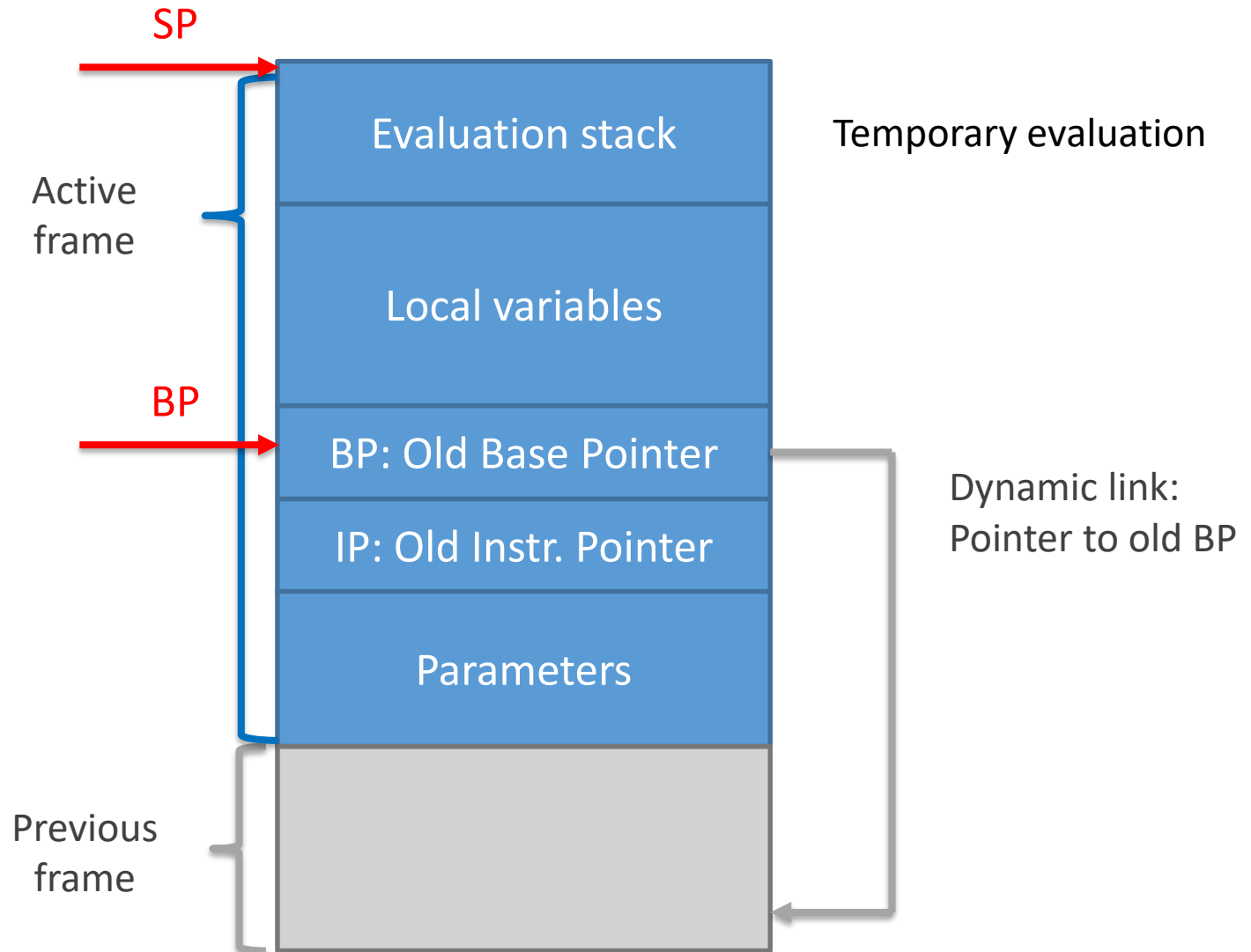
```
var method = activeFrame().getMethod();
var hasReturn = method.getReturnType() != null;
Object result = null;
if (hasReturn) {
    result = pop();
}
callStack.pop();
if (hasReturn) {
    push(result);
}
```



*What should we add?*



# Unmanaged Call Stack (Alternative)



# Review: Learning Goal

- ✓ Understand the architecture of a virtual machine
- ✓ Be able to implement an own interpreter
- ✓ Know the procedural runtime support

# Further Reading

- Dragon Book, Runtime Environment
  - Section 7.1-7.2: (Unmanaged) call stacks
- Optional, if interested
  - B. Venners. Inside the Java Virtual Machine.
    - <https://www.artima.com/insidejvm/ed2>
    - Chapter 5 (JVM)