



Course 142A Compilers & Interpreters
Code Generation

Lecture Week 4
Prof. Dr. Luc Bläser

Last Lecture - Quiz

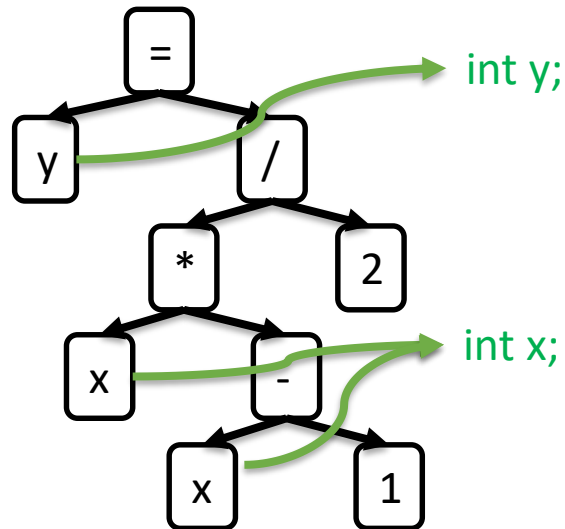
$y = x * (x - 1) / 2;$



*Which semantic checks are to be applied?
How does the intermediate representation look like?*

Intermediate Representation

- Abstract Syntax Tree
 - Designators x and y resolved
 - Type int resolved for all expressions
- Symbol Table
 - Class, Method
 - Variables x and y
 - Of inbuilt type int



Next Step

- Intermediate language code for the runtime system

```
load 1      // load x
load 1      // load x
ldc 1       // const 1
isub        // x - 1
imul        // x * (x - 1)
ldc 2       // const 2
idiv        // x * (x - 1) / 2
store 1     // store y
```

UCI-Java Bytecode
Analogous to real Java bytecode

Today's Topics

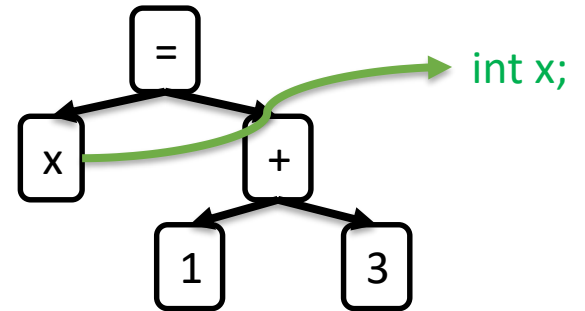
- Intermediate Language Code
- Virtual Stack Machine
- Instruction Selection
- Template-Based Approach

Learning Goals

- Know the properties of modern intermediate language, such as Java bytecode
- Understand template-based code generation for a stack-based intermediate language

Compiler Backend

Intermediate Representation



Code Generator

(Virtual) Machine Code

```
ldc 1
ldc 3
iadd
store 1
```

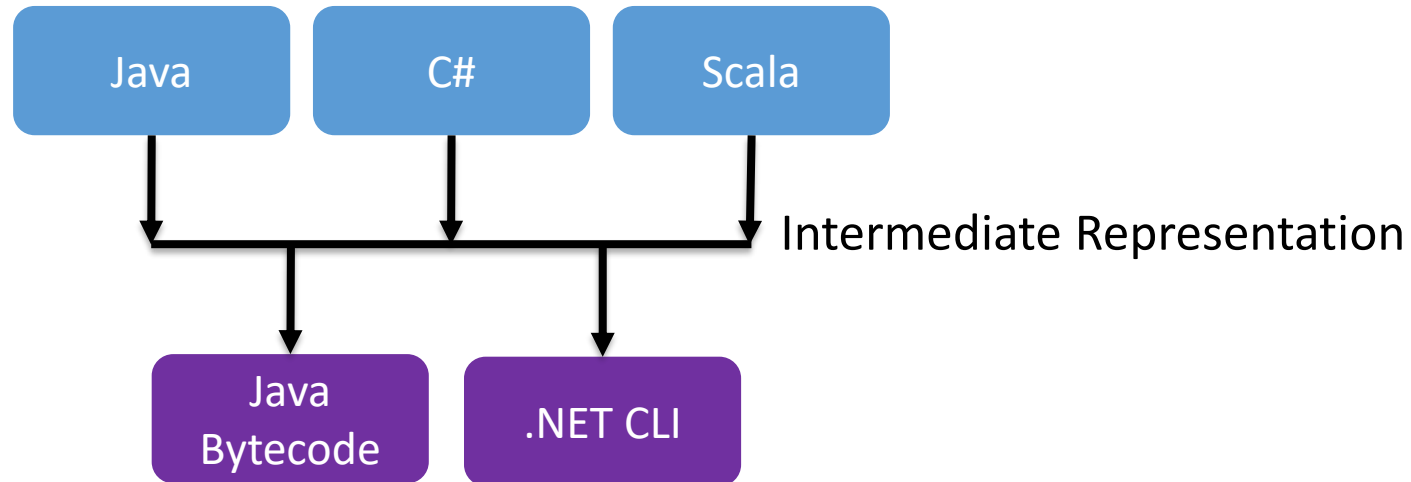
Task of a Code Generator

- Generate executable machine code
 - Input: Intermediate representation (symbol table + AST)
 - Output: Machine code
- Possible target machines
 - Real machine, e.g. Intel 64, ARM processor
 - Virtual machine, e.g. Java VM, .NET CLI

Our focus today
Code generator for VM

Compiler Frontend/Backend

- Separation allows multi-languages + multi-platforms

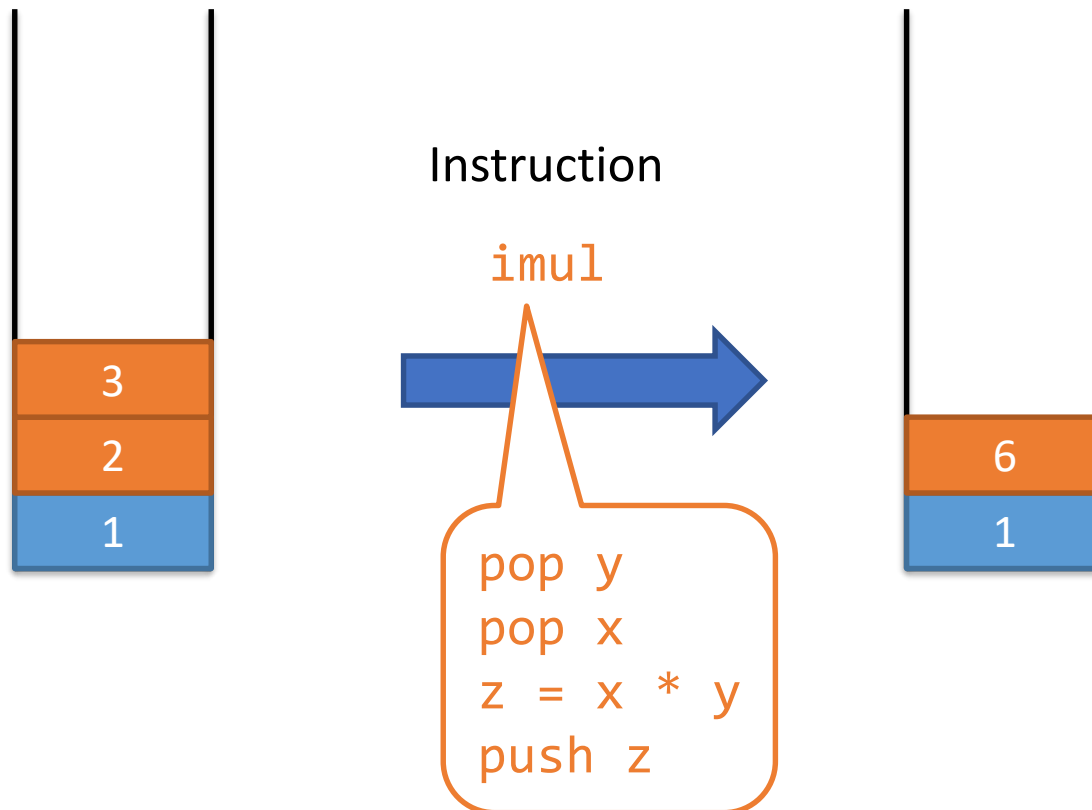


Our Target Machine

- Own VM with own intermediate language
- Oriented on Java Bytecode
 - .NET intermediate language is also similar
- Core concepts
 - Virtual stack processor
 - Branch instructions (“goto”)
 - Metadata

Stack Processor

- Instructions use an evaluation stack
 - No registers in contrast to real processors



Evaluation Stack

- Each instruction has a defined number of
 - Pop calls
 - Push calls
- Own stack per method call
 - Empty at the method entry and exit
- Stack is conceptually unbound
 - Supports arbitrarily complex evaluations

Instruction Set (Extract)

| Instruction | Meaning | Evaluation Stack |
|--------------------|---|------------------|
| ldc <const> | Load constant (int, boolean, string) | 1 push |
| iadd | Integer add | 2 pop, 1 push |
| isub | Subtract | 2 pop, 1 push |
| imul | Multiply | 2 pop, 1 push |
| idiv | Divide | 2 pop, 1 push |
| irem | Remainder | 2 pop, 1 push |
| ineg | Integer negate | 1 pop, 1 push |
| load <num> | Load parameter or local var (numbered) | 1 push |
| store <num> | Store parameter or local var (numbered) | 1 pop |

Load/Store Numbering

- «this» reference: index 0 (virtual method)
- Then, n parameters: index 1..n
- Then, m locals: index n+1..n+m

```
int calculate(int a, int b, int c) {  
    int d;  
    int e;  
    ...  
}
```

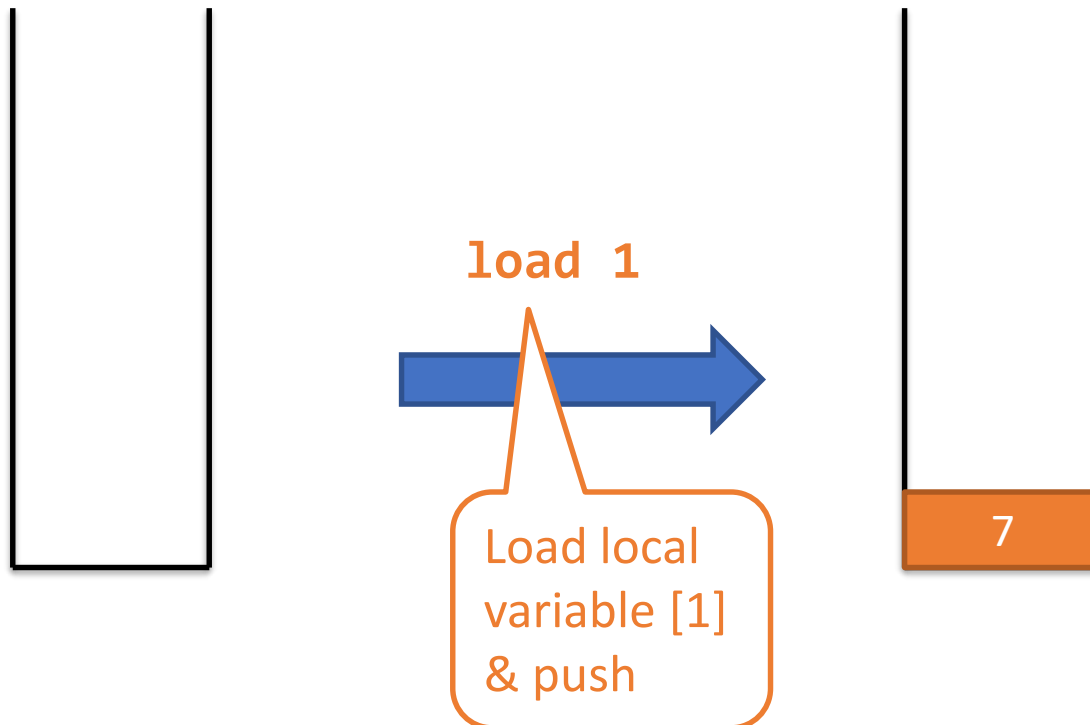
The diagram illustrates the numbering of load/store instructions for the provided code. Five callout boxes, each labeled 'load/store X', point to the corresponding variable in the code: 'load/store 1' points to 'a', 'load/store 2' points to 'b', 'load/store 3' points to 'c', 'load/store 4' points to 'd', and 'load/store 5' points to 'e'.

Evaluation Example

Local variables

[1] int value 7

[2] int value 8

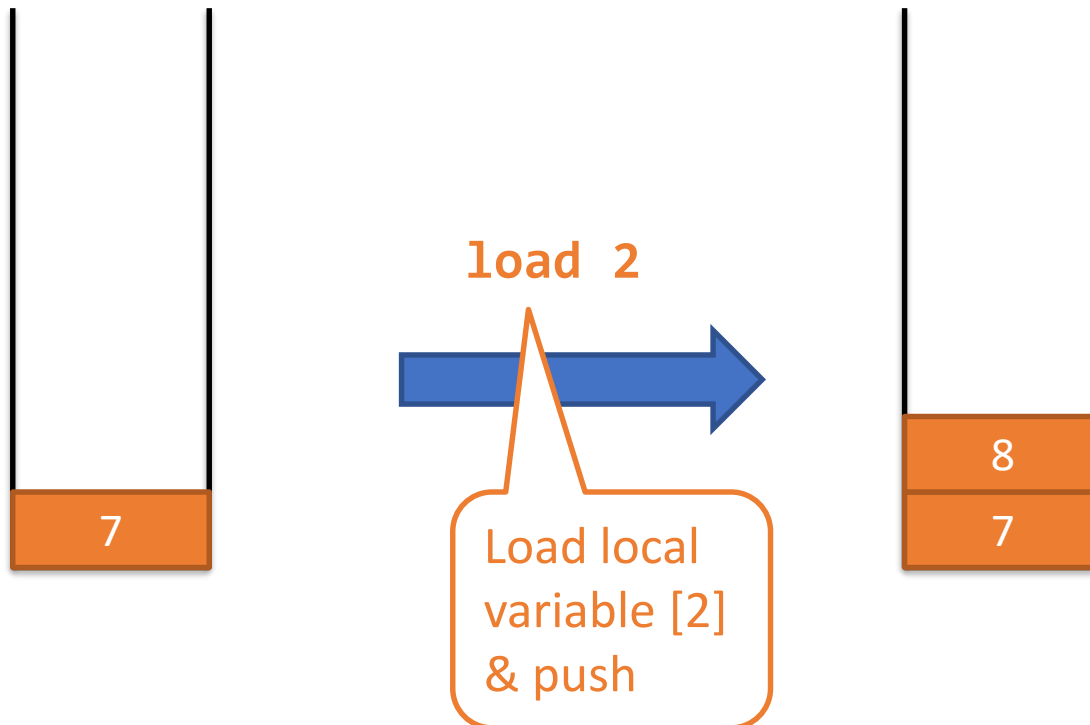


Evaluation Example

Local variables

[1] int value 7

[2] int value 8

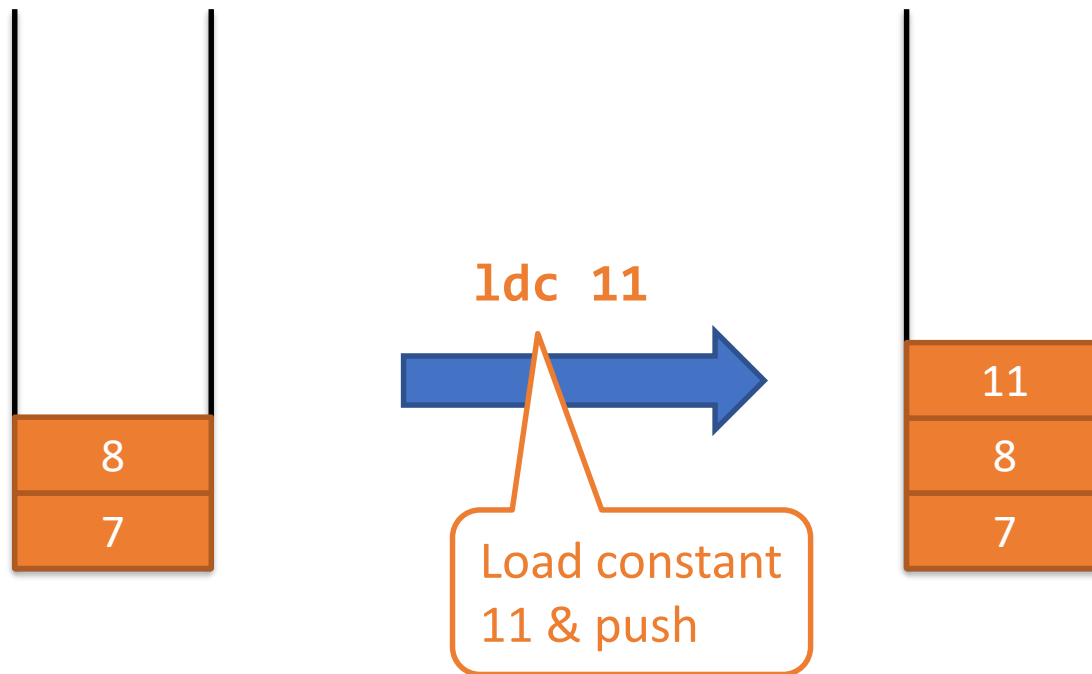


Evaluation Example

Local variables

[1] int value 7

[2] int value 8

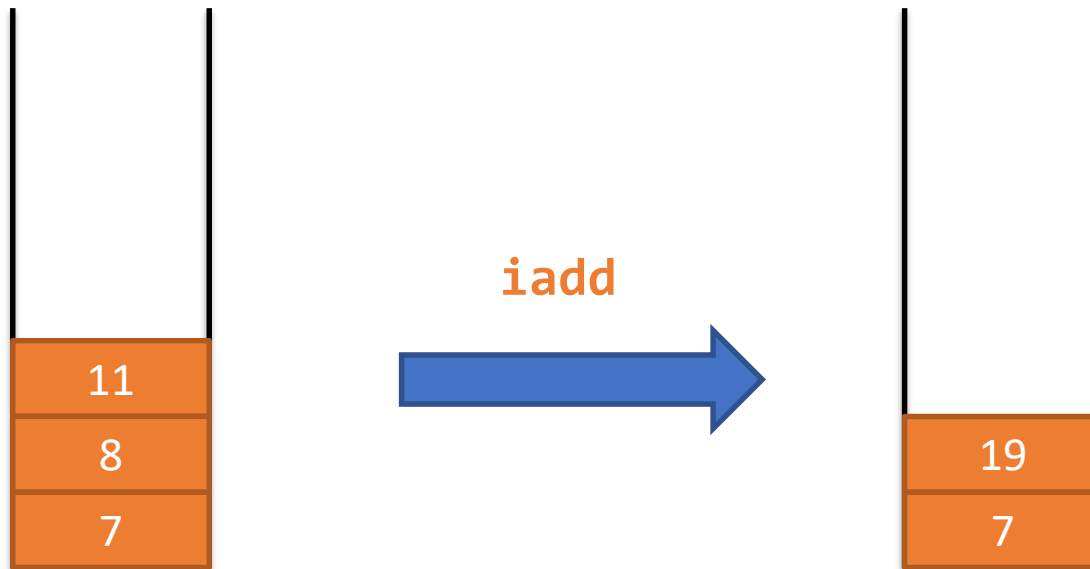


Evaluation Example

Local variables

[1] int value 7

[2] int value 8

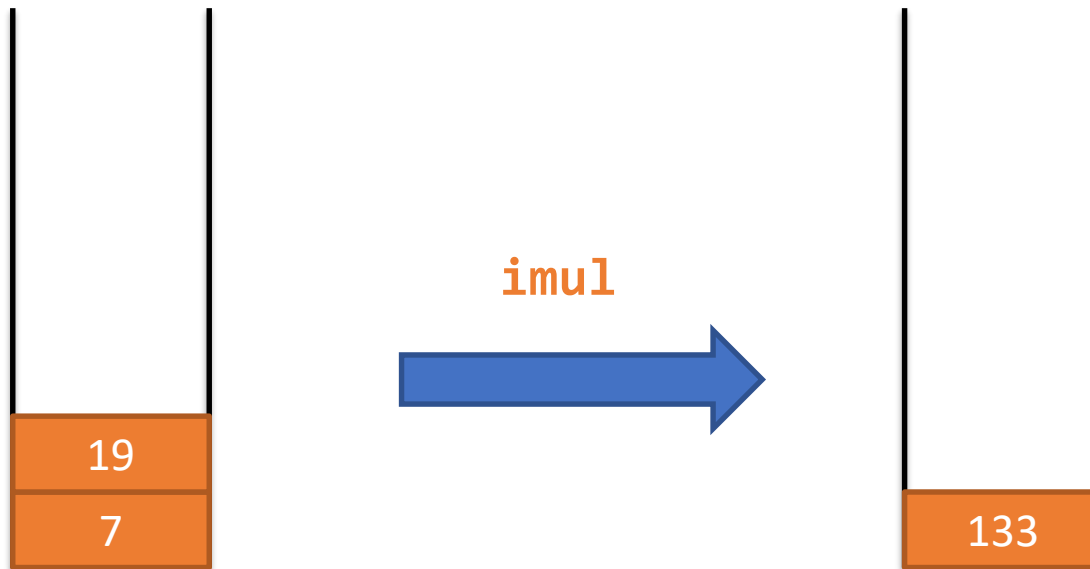


Evaluation Example

Local variables

[1] int value 7

[2] int value 8

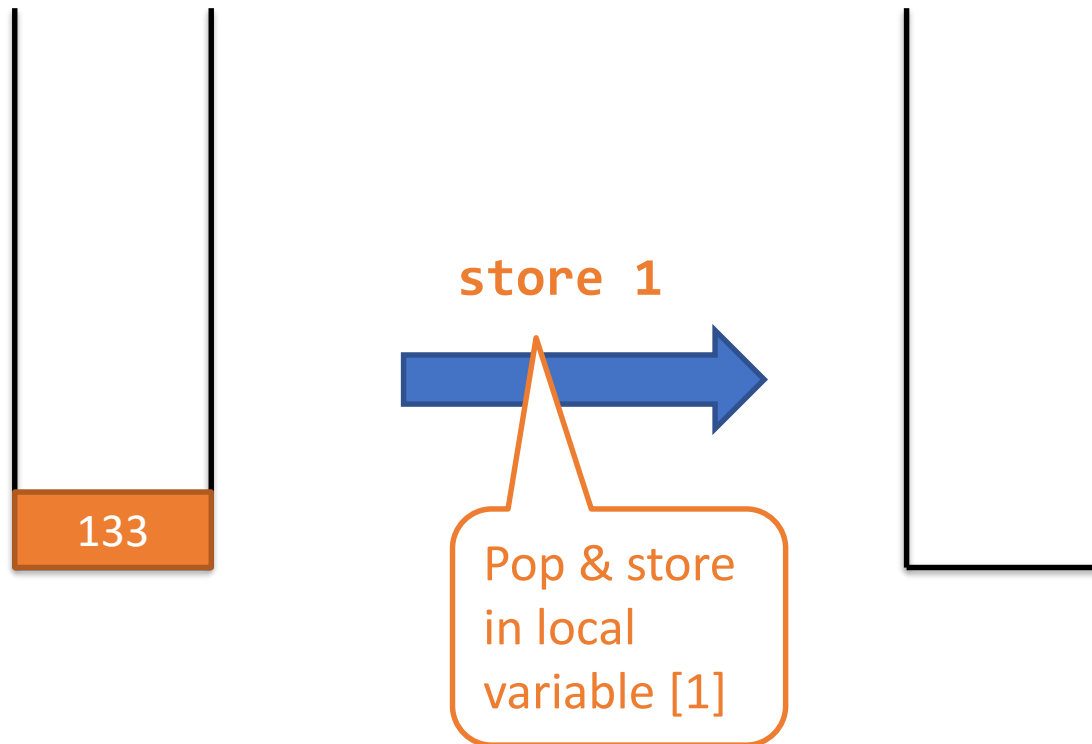


Evaluation Example

Local variables

[1] int value 133

[2] int value 8



Boolean Values

- UCI Java Bytecode: Stored as boolean on stack
- Real Java Bytecode: Stored as int (0 = false)

| Instruction | Meaning | Evaluation Stack |
|-------------|----------------------|------------------|
| bneg | Boolean negate (not) | 1 Pop, 1 Push |



Why do we have no “and” / “or” instructions?

Example: Boolean

Local variables

[1] boolean value

[2] boolean value

```
load 1  
bneg  
store 2
```



Which statement corresponds to this code?

Control Flow

- Control statements translated to branch-based code

```
while (x < 10) {  
    x = x + 1;  
}
```



```
begin: load 1  
       ldc 10  
       icmplt  
       if_false end  
       load 1  
       ldc 1  
       iadd  
       store 1  
       goto begin  
end:
```

Compare Instructions

| Instruction | Meaning |
|---------------|-----------------------------------|
| cmpeq | Compare equal (various types) |
| cmpne | Compare not equal (various types) |
| icmpgt | Integer compare greater than |
| icmpge | Integer compare greater equal |
| icmplt | Integer compare less than |
| icmple | Integer compare less equal |

pop right, pop left, push boolean

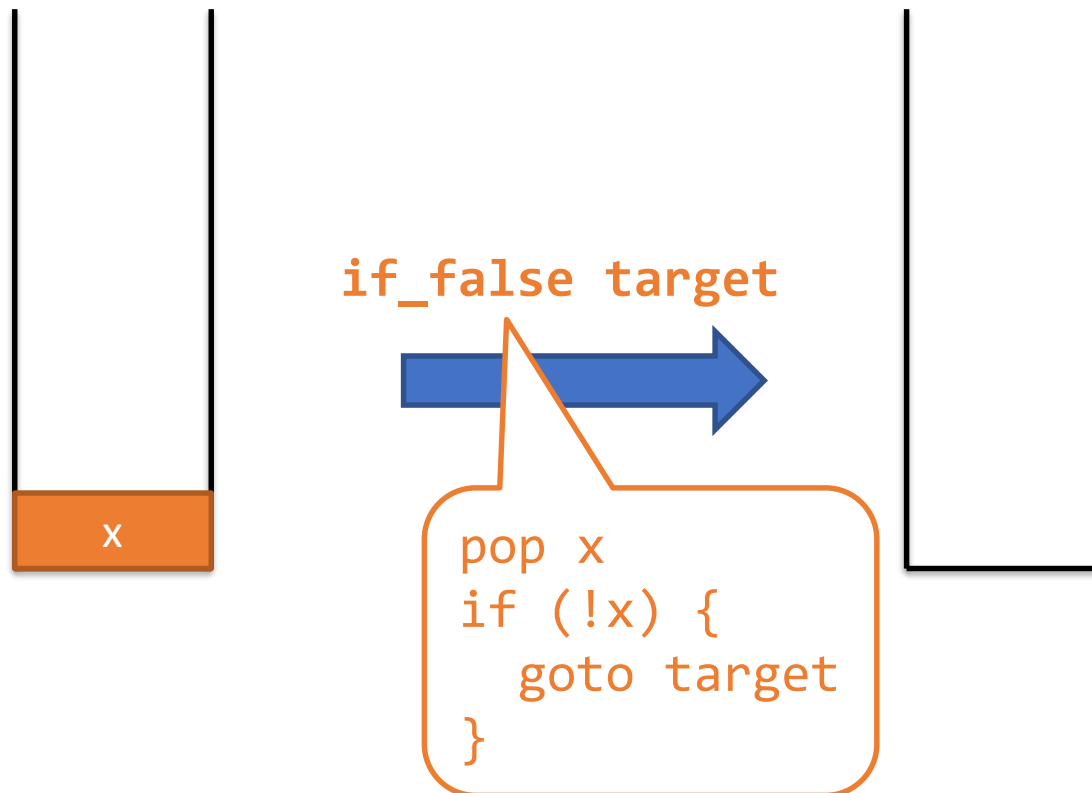
Branch Instructions

| Instruction | Meaning | Evaluation Stack |
|-------------------------------------|------------------------|------------------|
| <code>goto <label></code> | Branch (unconditional) | - |
| <code>if_true <label></code> | Branch if true | 1 pop |
| <code>if_false <label></code> | Branch if false | 1 pop |

Difference to Java bytecode: compare & branch combined,
e.g `if_icmpLt` (branch if integer compare less than)

Conditional Branch

- Pop boolean and decide
- Jump to label, if condition is fulfilled



Metadata

- Intermediate language knows all information about
 - Classes (names & types of fields and methods)
 - Methods (parameter types and return type)
 - Local variables (types)
- No immediate memory layout prescribed
- Not contained
 - Names of local variables and parameters
 - These are only numbered



Why is this information not needed in the VM?

Code Generation

- Traverse symbol table
 - Generate bytecode metadata
- Traverse AST per method (visitor)
 - Generate instructions via bytecode assembler
- Serialize to output file
 - Our case: Java serialization (convenience)
 - Java/.NET: proprietary binary format

Bytecode Assembler

```
var assembler = new Assembler(...);

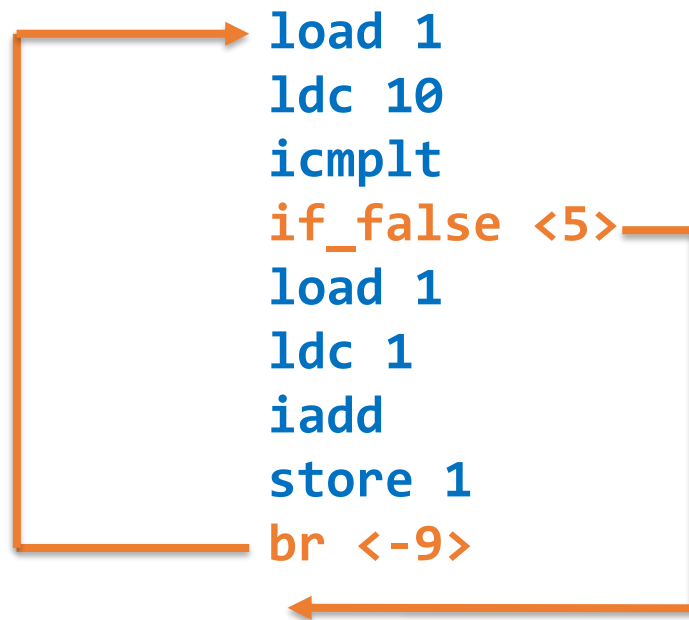
var begin = assembler.createLabel();
var end = assembler.createLabel();
assembler.setLabel(begin);
assembler.emit(LOAD, 1);
assembler.emit(LDC, 10);
assembler.emit(ICMPLT);
assembler.emit(IF_FALSE, end);
assembler.emit(LOAD, 1);
assembler.emit(LDC, 1);
assembler.emit(IADD);
assembler.emit(STORE, 1);
assembler.emit(GOTO, begin);
assembler.setLabel(end);

assembler.complete();
```

```
begin:
    load 1
    ldc 10
    icmplt
    if_false end
    load 1
    ldc 1
    iadd
    store 1
    goto begin
end:
```

Backpatching

- Jump target resolution, e.g. at end of assembling
- Label => relative instruction offset at the end of current branch instruction



Tree Templates

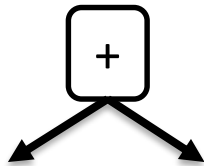
- Code template per AST-node or subtree pattern



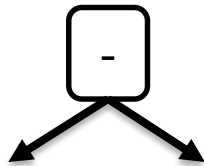
load <varNum>



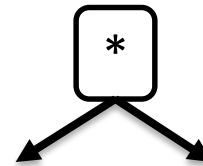
ldc <const>



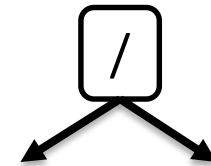
iadd



isub



imul

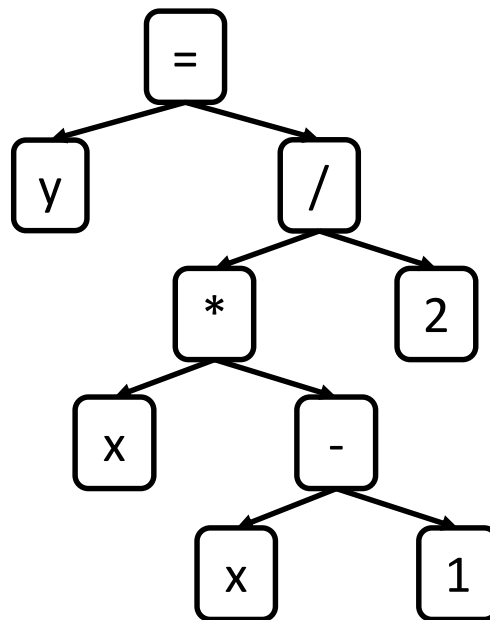


idiv



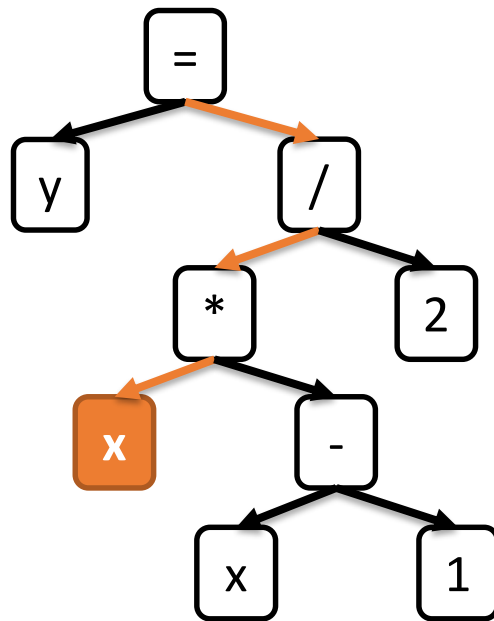
Template-Based Code Generation

- Post-order traversal: Visit children first
- Apply code template per detected subtree pattern



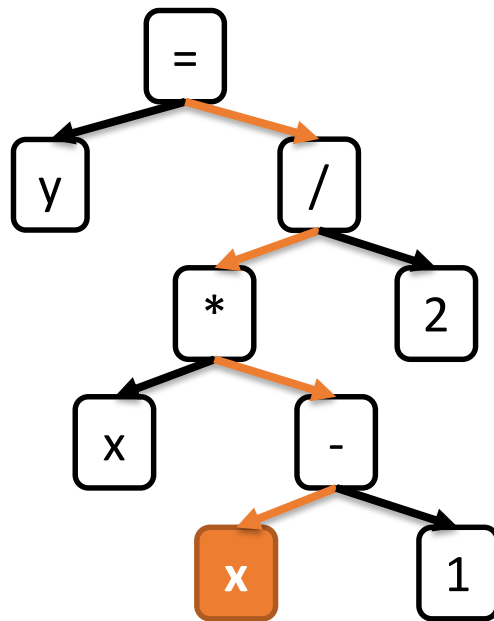
Local variables
int x; int y;

Code Generation Example



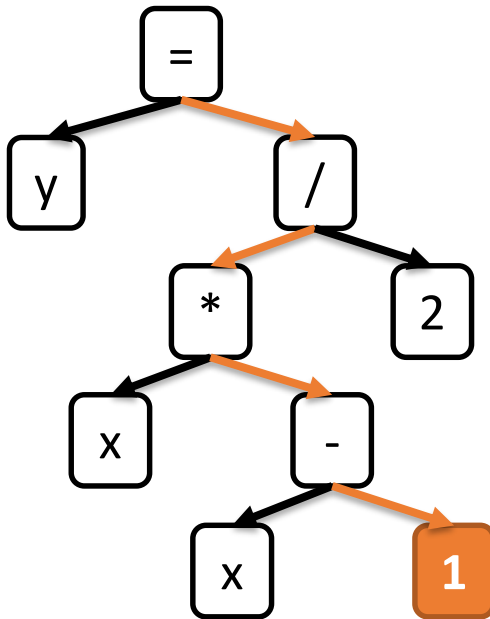
load 1

Code Generation Example



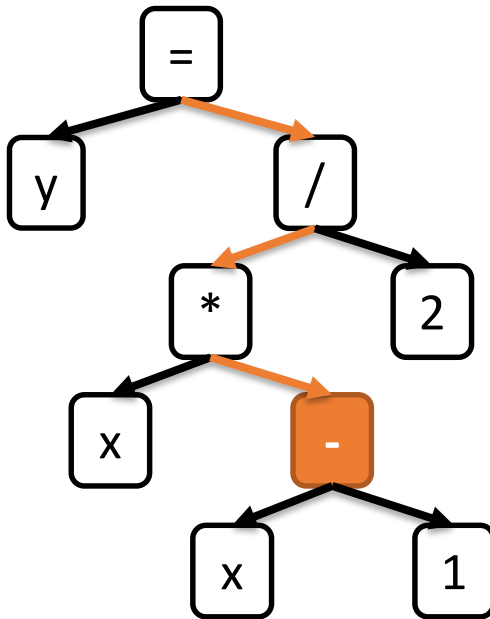
load 1
load 1

Code Generation Example



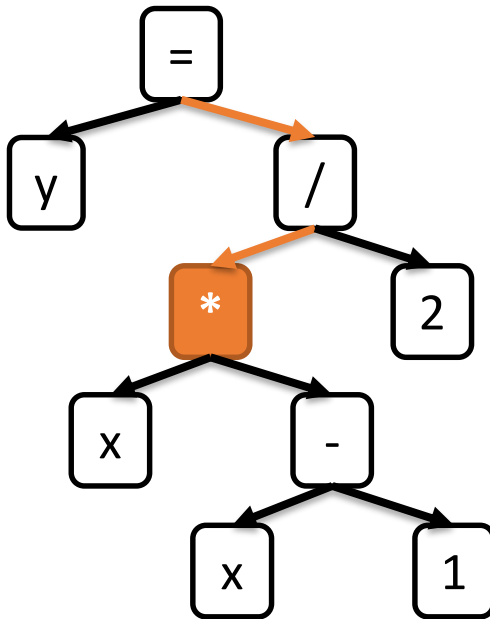
load 1
load 1
ldc 1

Code Generation Example



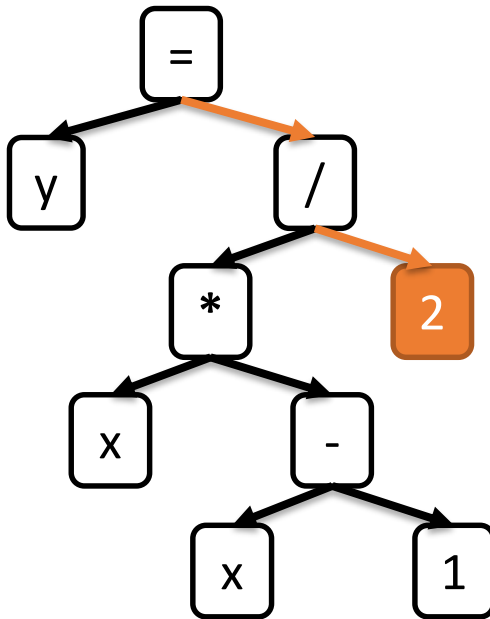
load 1
load 1
ldc 1
isub

Code Generation Example



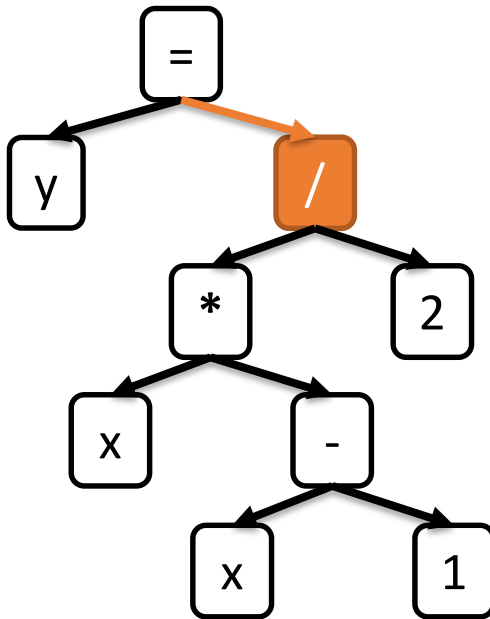
load 1
load 1
ldc 1
isub
imul

Code Generation Example



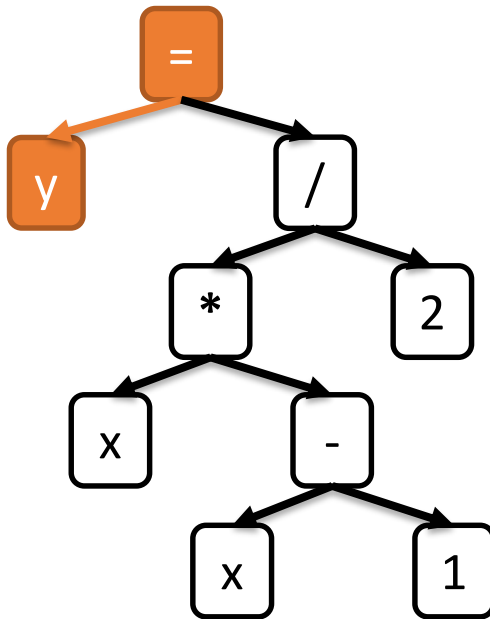
```
load 1  
load 1  
ldc 1  
isub  
imul  
ldc 2
```

Code Generation Example



```
load 1  
load 1  
ldc 1  
isub  
imul  
ldc 2  
idiv
```

Code Generation Example

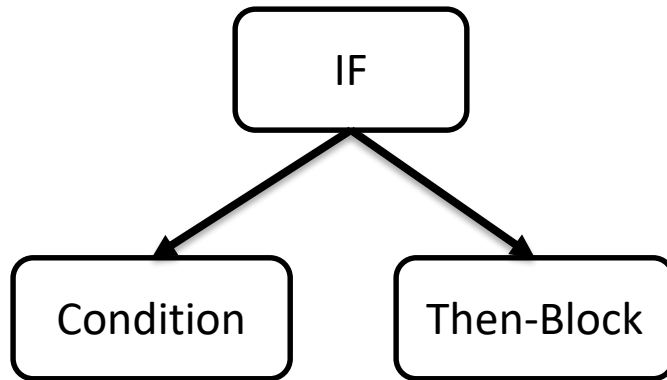


```
load 1
load 1
ldc 1
isub
imul
ldc 2
idiv
store 2
```


Traversal Order

- For expressions: Always post order
- For statements: Depending on code template
 - Assignment: Right-hand side first, then template
 - If, If-Else, While etc. are more complex

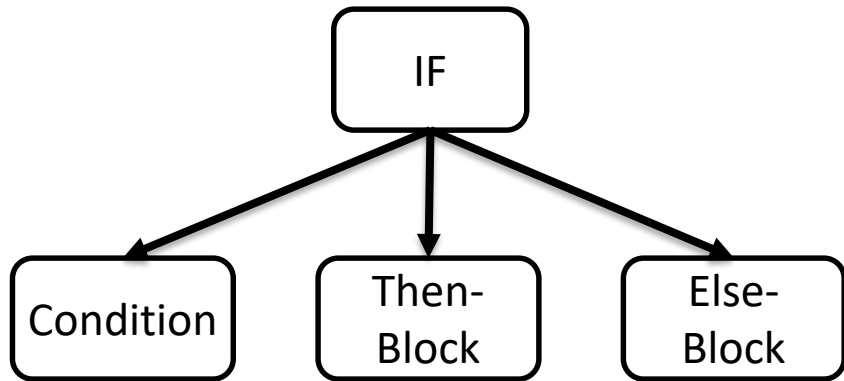
If-Statement



Condition
if_false target
Then-Block

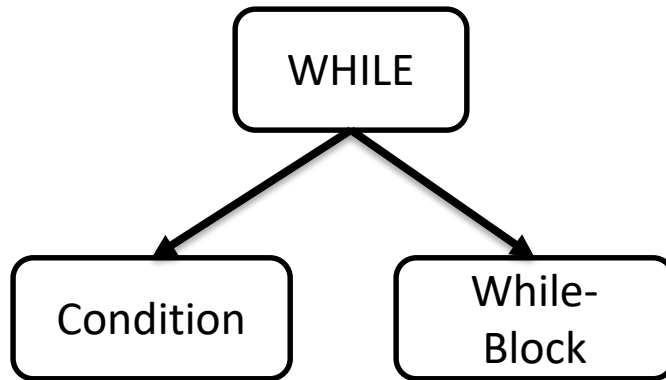
target:

If-Else Statement



Condition
if_false target0
Then-Block
goto target1
target0: Else-Block
target1:

While-Statement



target0: Condition
if_false target1
While-Block
goto target0

target1:

Visitor Implementation

```
@Override
public void visit(WhileStatementNode node) {
    var beginLabel = assembler.createLabel();
    var endLabel = assembler.createLabel();
    assembler.setLabel(beginLabel);
    node.getCondition().accept(this);
    assembler.emit(IF_FALSE, endLabel);
    node.getBody().accept(this);
    assembler.emit(GOTO, beginLabel);
    assembler.setLabel(endLabel);
}
```

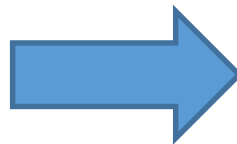
accept() for code generation of subtrees

Conditional Evaluation



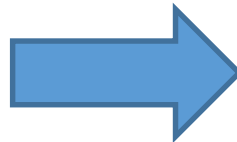
- Short-circuit logic

`a && b`



`if a then b
else false`

`a || b`



`if !a then b
else true`

Apply corresponding code templates

Method Calls

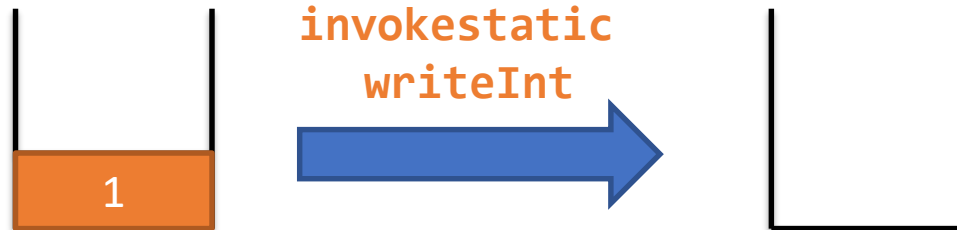
- Static
 - Predefined methods: `readInt()`, `writeInt()` etc.
- Otherwise all virtual (dynamic)
 - Bound to an object, e.g. `x.run()`, `this.run()`

| Instruction | Meaning |
|----------------------------|--------------|
| <code>invokestatic</code> | Static call |
| <code>invokevirtual</code> | Virtual call |

`load 0` for «this» reference

Static Method Call

`writeInt(1)`



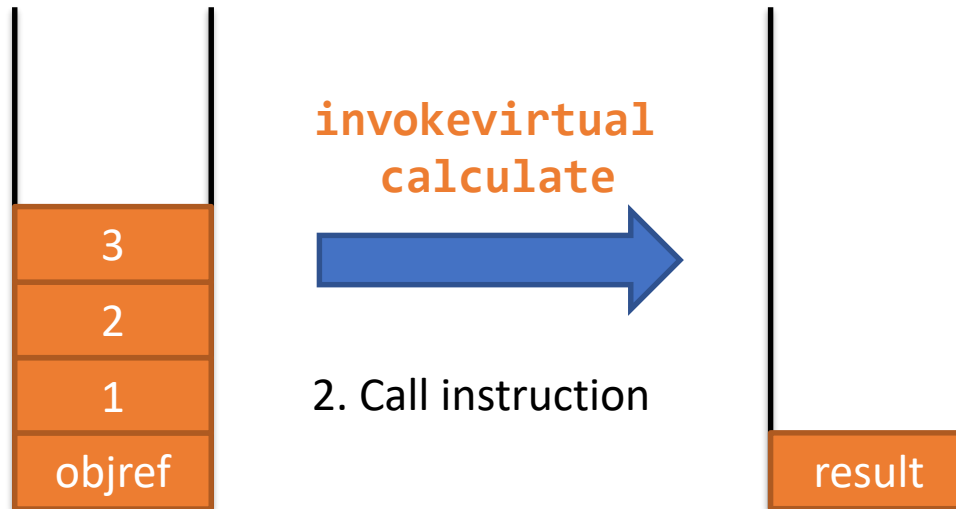
`readInt()`



Virtual Method Call

```
objref.calculate(1, 2, 3);
```

1. Arguments on stack (last on top),
this reference at bottom



3. Call removes arguments & object reference,
pushes result on stack (unless void)

Parameter & Return

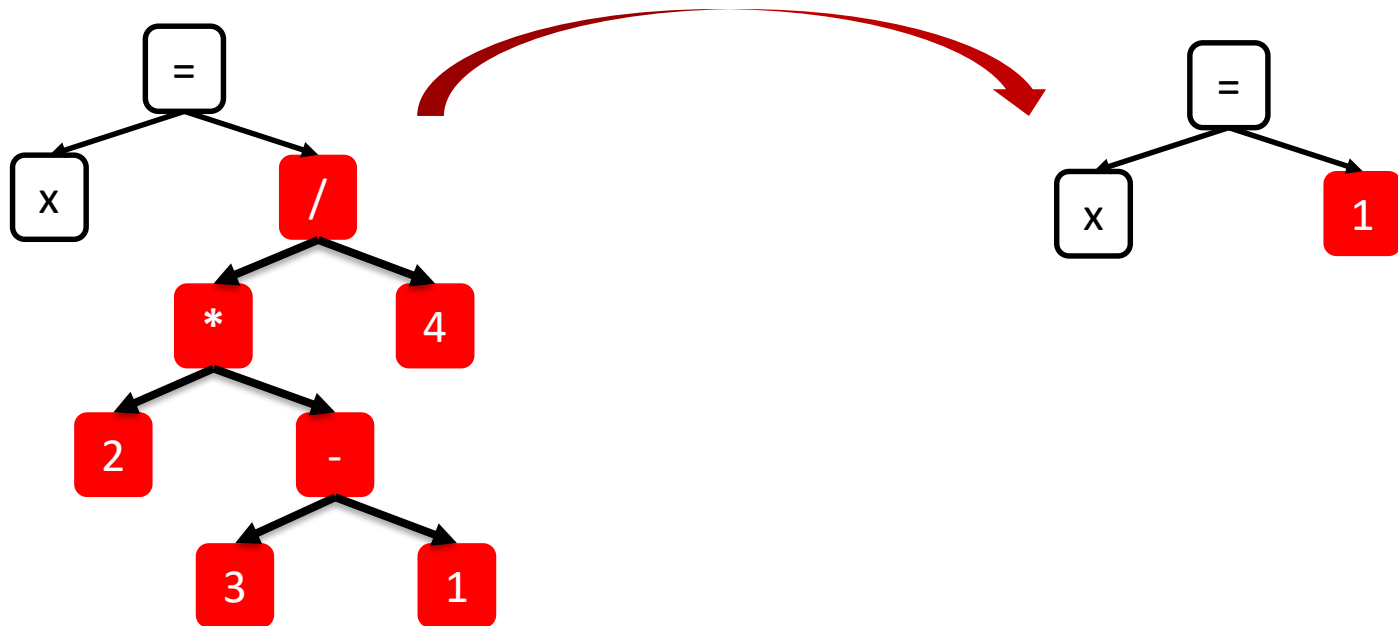
```
int sum(int x, int y) {  
    return x + y;  
}
```

```
load 1 // load parameter x  
load 2 // load parameter y  
iadd  
ret // return from method
```

ret is also required for void methods

Additional Possible Optimization

- Precompute constant sub-expression
- AST-rewriting before code generation



More optimization: Next lecture

Other Code Generation

- Direct machine code
 - Register allocation
 - Covered later (JIT compilation)
- Non-stack intermediate code
 - For certain machine-independent optimizations
 - E.g. Three Address Code

```
t1 = x - 1
t2 = x * t1
y  = t2 / 2
```

Review: Learning Goals

- ✓ Know the properties of modern intermediate language, such as Java bytecode
- ✓ Understand template-based code generation for a stack-based intermediate language

Further Reading

- Dragon Book, Code Generation
 - Section 8.9 (Template-based code gen/tree rewriting)
 - Section 6.6, 6.7, 6.9 (Control statements, calls)
- Optional, if interested
 - The Java Virtual Machine Instruction Set
<https://docs.oracle.com/javase/specs/jvms/se11/html/jvms-6.html>
 - Three Address Code: Dragon book, section 6.2



Course 142A Compilers & Interpreters
Code Optimization

Lecture Week 4, Wednesday
Prof. Dr. Luc Bläser

Last Lecture - Quiz

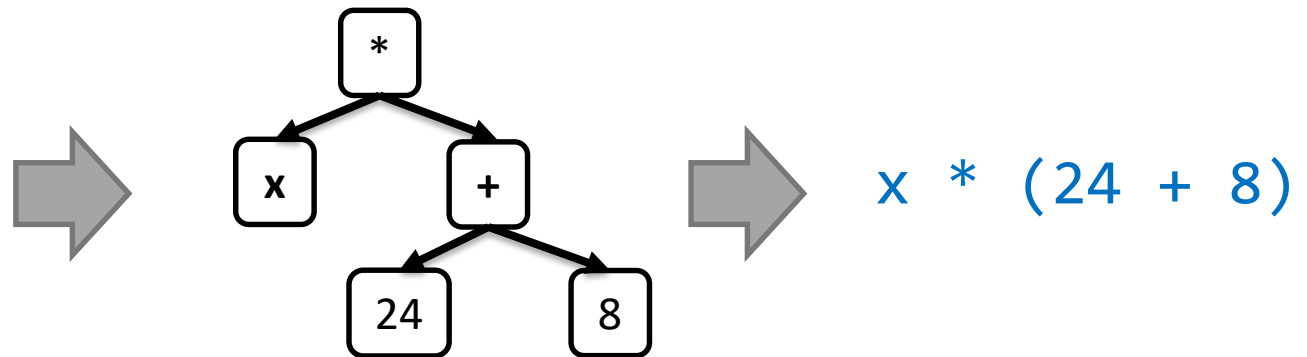
```
load 1  
ldc 24  
ldc 8  
iadd  
imul
```



Which expression could this bytecode origin from?

Disassembling

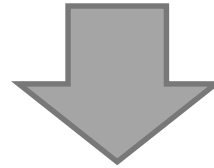
```
load 1  
ldc 24  
ldc 8  
iadd  
imul
```



How could this be optimized?

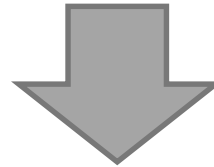
Optimization

$x * (24 + 8)$



Constant expression

$x * 32$



Left shift is faster

$x \ll 5$

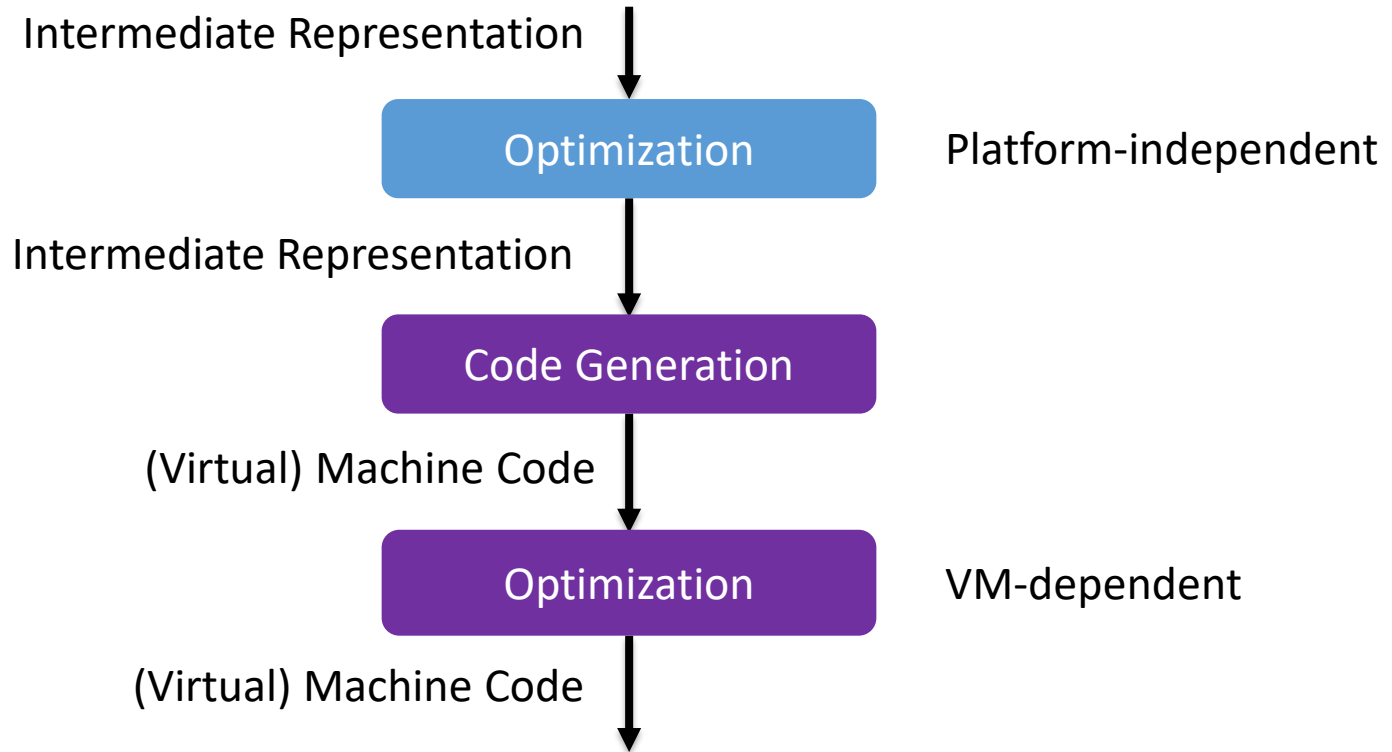
Today's Topics

- Various optimizations
 - Optimized arithmetic
 - Algebraic simplification
 - Loop-invariant code motion
 - Common subexpression elimination
 - Dead code elimination
 - Copy propagation
 - Constant propagation
 - Partial redundancy elimination
- And their facilitating analyses

Learning Goals

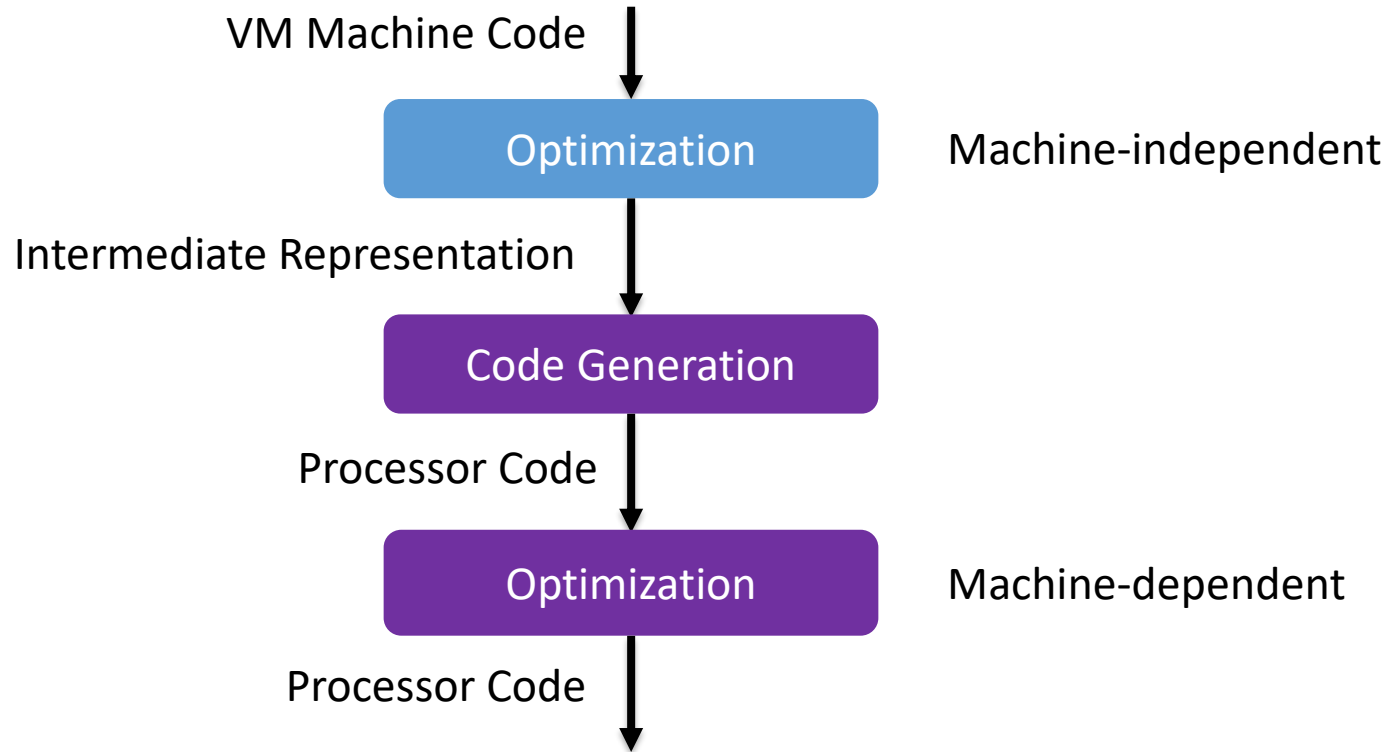
- Know different common code optimizations
- Gain an overview of analyses for optimization

Compiler Steps



(possible additional optimizations steps via intermediate code)

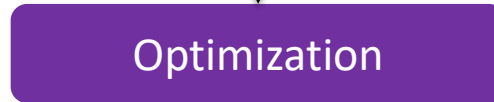
JIT-Compiler Steps



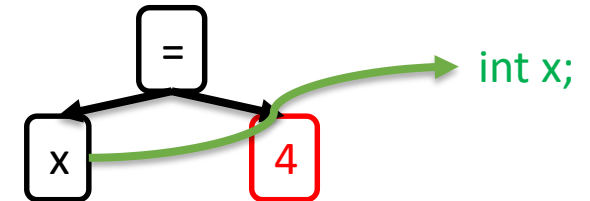
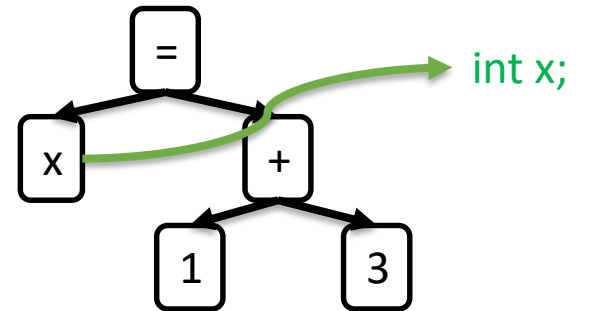
JIT compiler covered later in course

Our Focus: Optimization

Intermediate Representation



Intermediate Representation





Task of the Optimization


- Transformation of intermediate representation / machine code
 - Into more efficient representation/machine code
- Possible intermediate representations:
 - AST + symbol table
 - Bytecode
 - Other intermediate code (e.g. Three Address Code)
- Series of optimization steps possible

Optimized Arithmetic

- Multiply, divide, remainder by power of 2 constants
 - Cheap bitwise operations can be applied (e.g. JIT compiler)

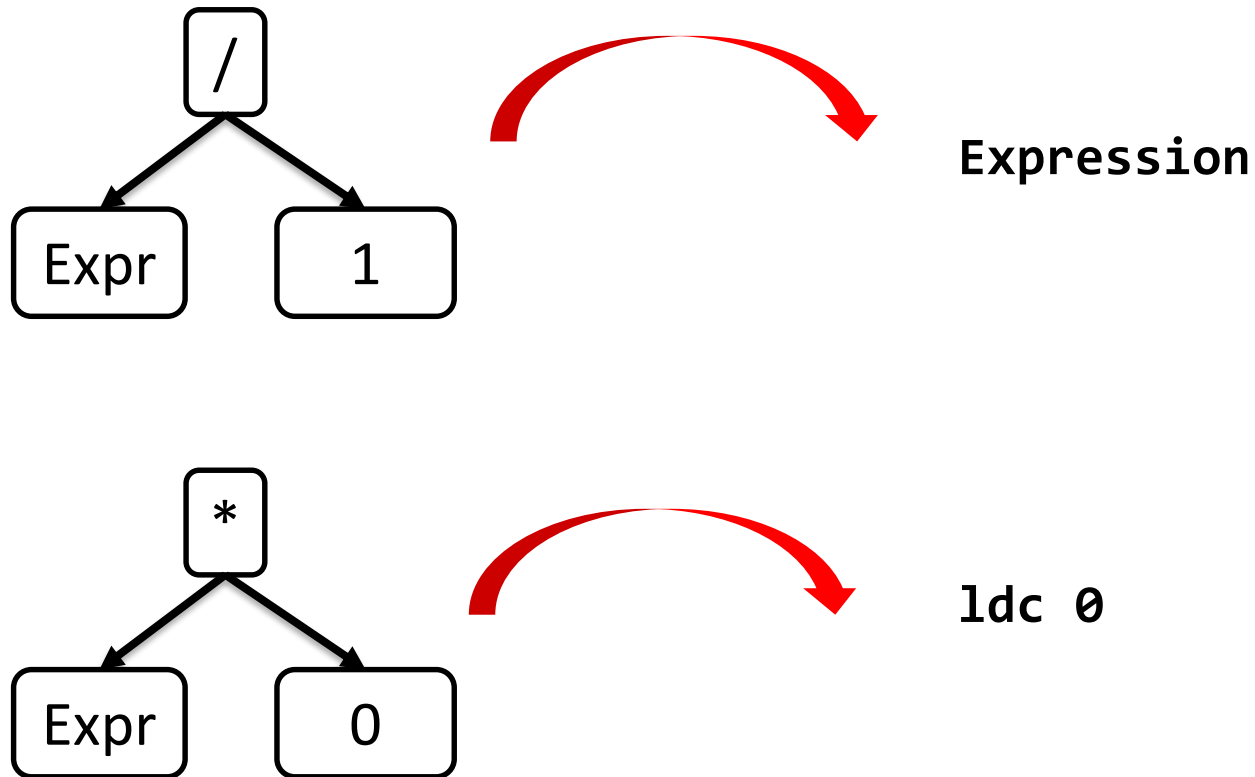
$x * 32$  $x \ll 5$

$x / 32$  $x \gg 5$

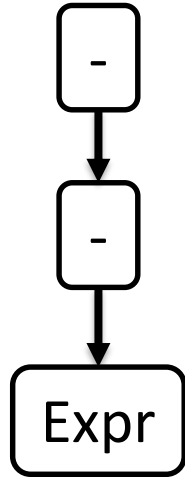
$x \% 32$  $x \& 31$

Algebraic Simplification (1)

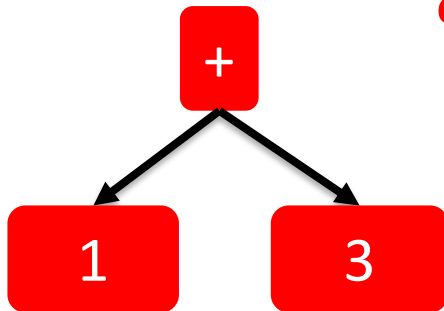
- E.g. by template-based code generation



Algebraic Simplification(2)



Expression



constant expression



1dc 4

Loop-Invariant Code

Never changes
during loop

```
while (x < N * M) {  
    k = y * M;  
    x = x + k;  
}
```

Also loop-
invariant



How should we optimize this?

Code Motion

```
while (x < N * M) {  
    k = y * M;  
    x = x + k;  
}
```



Move invariant code
out of the loop

```
k = y * M;  
temp = N * M;  
while (x < temp) {  
    x = x + k;  
}
```

Common Subexpressions

$x = a * b + c;$

...

$y = a * b + d;$

Repeatedly evaluated
subexpression




a and b must not be altered in between

Common Subexpression Elimination

x = a * b + c;

...

y = a * b + d;



temp = a * b;

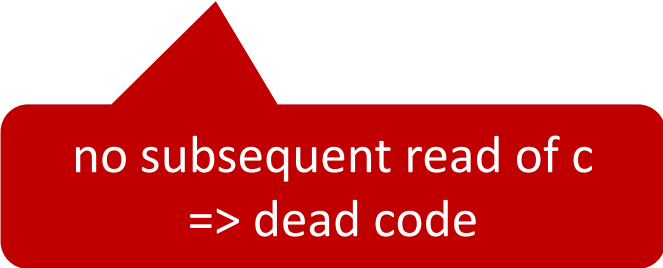
x = temp + c;

...

y = temp + d;


Dead Code

```
a = readInt();  
b = a + 1;  
writeInt(a);  
c = b / 2;
```




no subsequent read of c
=> dead code

Dead Code Elimination



```
a = readInt();  
b = a + 1;  
writeInt(a);  
c = b / 2;
```

```
a = readInt();  
b = a + 1;  
writeInt(a);
```



```
a = readInt();  
writeInt(a);
```



b is now never used

Can also be eliminated in one step (discussed next week)

Redundant Loads and Stores

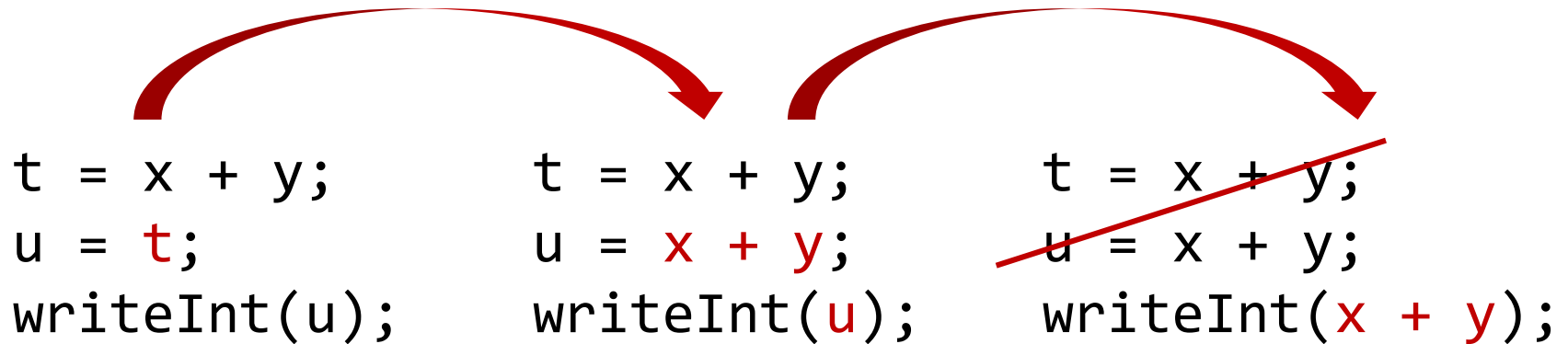
```
t = x + y;  
u = t;  
writeInt(u);
```



writeInt(x + y)

Copy Propagation

- Idea: Use last assigned expression for read variable



What have we gained?

Constant Propagation

- Also called constant folding


```
a = 1;
if (...) {
    a = a + 1;
    b = a;
} else {
    b = 2;
}
c = b + 1;
```

b is here
constant 2

c is guaranteed
to be 3

Detection discussed next week

Constant Propagation




```
a = 1;
if (...) {
    a = a + 1;
    b = a;
} else {
    b = 2;
}
c = b + 1;
```

```
a = 1;
if (...) {
    a = 2;
    b = 2;
} else {
    b = 2;
}
c = 3;
```

Can afterwards eliminate dead or duplicate code

Partial Redundancy

```
if (...) {  
    y = x + 4;  
} else {  
    ...  
}  
z = x + 4;
```



On if-path, $x + 4$ is
evaluated twice

On else-path, only once

Partial Redundancy Elimination

```
if (...) {  
    y = x + 4;  
} else {  
    ...  
}  
z = x + 4;
```



```
if (...) {  
    t = x + 4;  
    y = t;  
} else {  
    ...  
    t = x + 4;  
}  
z = t;
```

x + 4 is now evaluated only once on each path

Detecting Possible Optimizations

Different facilitating techniques

- Static single assignment
- Peephole optimization
- Dataflow analysis

Revisiting Common Subexpression

x = a * b + c;

... . ● ●

...

y = a * b + d;

Need to know whether
a or b is assigned

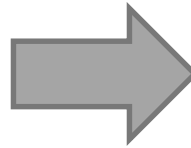


Only common subexpression if a and b remained the same

Static Single Assignment (SSA)

- Code transformation easing analysis & optimization

```
x = 1;  
x = 2;  
y = x;
```

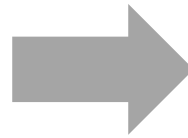


```
x1 = 1;  
x2 = 2;  
y1 = x2;
```

Every variable is assigned
only once in the code

More Complex on Branches

```
if (...) {  
    x = 1;  
} else {  
    x = 2;  
}  
y = x;
```



```
if (...) {  
    x1 = 1;  
} else {  
    x2 = 2;  
}  
y1 = x??;
```

Which version of x?

Phi Function

```
if (...) {  
     $x_1 = 1;$   
} else {  
     $x_2 = 2;$   
}  
 $y_1 = \underbrace{\varphi(x_1, x_2)};$ 
```

Meaning: If first path (if) is taken, select x_1 , otherwise x_2 .

SSA Application: Common Subexpressions

- Can be immediately determined in SSA

$$x_1 = a_1 * b_1 + c_1;$$

...

$$y_1 = a_1 * b_1 + d_1;$$

...

$$z = a_1 * b_2 + d_3;$$



Know that b and d
changed

Other SSA Application: Dead Code

```
x1 = 1;  
x2 = 2;  
y1 = x2 + 1;  
writeInt(y1);
```

x₁ never read =>
dead code

SSA Computation

- Relatively complicated and expensive
 - Especially deciding where to put which phi (so called dominance frontier)
- Cheaper techniques wanted, especially for JIT
 - E.g. peephole optimization

Peephole Optimization

- Optimization over very small amount of instructions
- Used in JIT and on intermediate or machine code

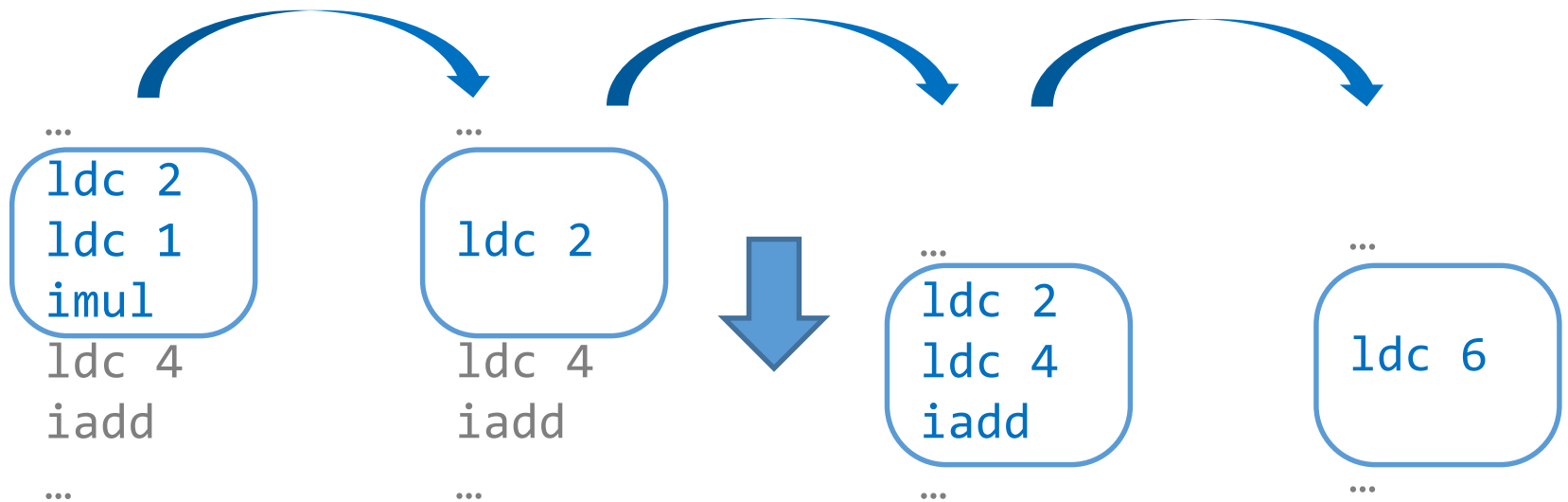
```
...  
ldc 2  
ldc 1  
imul  
ldc 4  
iadd  
...
```

Sliding window of e.g. 3 instructions



Apply optimization pattern inside sliding window

Peephole Optimization



Dataflow Analysis

- Powerful generic code analysis technique
- Useful for many optimizations

Next week's topic

Summary

| Optimization | Facilitating Techniques |
|----------------------------------|--|
| Optimized arithmetic | Template-based code gen Peephole optimization |
| Algebraic simplification | Template-based code gen Peephole optimization |
| Common subexpression elimination | SSA Dataflow analysis |
| Dead code elimination | SSA Dataflow analysis |
| Copy propagation | SSA Dataflow analysis |
| Constant propagation | SSA Dataflow analysis |
| Partial redundancy elimination | SSA Dataflow analysis |

Review: Learning Goals

- ✓ Know different common code optimizations
- ✓ Gain an overview of analyses for optimization

Further Reading

- Dragon Book, Code Optimization
 - Section 6.2.4: Static single assignment
 - Section 8.7: Peephole optimization
 - Section 9.1-9.1.7: common subexpressions, copy propagation, dead code elimination, code motion
- Optional, if interested
 - Section 6.6.5: Avoiding redundant gotos
 - Section 9.4: Constant propagation (we will revisit it with dataflow analysis)
 - Section 9.5: Partial redundancy elimination (also using dataflow analysis, various steps)